

**BEFORE THE DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION**

Application Docket No. \_\_\_\_\_  
Application of Utiliz Services LLC d/b/a ("doing business as") \_\_\_\_\_  
for approval to offer, render, furnish, or supply natural gas services as a(n) Broker, [specified in item 10 below] to  
the public in the District of Columbia

To the District of Columbia Public Service Commission:

**BUSINESS INFORMATION**

**1. IDENTITY OF THE APPLICANT:**

a. Legal Name Utiliz Services LLC

Current Mailing Address: 7880 San Felipe Suite 210  
Houston, TX 77063

Street Address (if different): \_\_\_\_\_

Telephone Number: 844-707-5757

Website URL: [www.myutiliz.com](http://www.myutiliz.com)

Other States, including District of Columbia, in which the Applicant is now or has been engaged in the retail sale of natural gas and the names under which the Applicant is engaged or has been engaged in such business(es) Applicant may limit response to the last three (3) years:

Name: Utiliz LLC

Business Address: 7880 San Felipe, Ste 210, Houston TX 77063

CT 22-05-17, IL22-0448 , ME, MA RB-285, MD IR-4067, NH DM 21-091, NJ EE23030176L,RI 2379(T4)

License # State of Issuance: \_\_\_\_\_

Other states in which the Applicant has applied to provide retail natural gas service but has been rejected. Applicant may limit response to the last three (3) years:

State(s): N/A

Date of Application: N/A

Attach additional sheets to the application if necessary.

b. Trade name (If Applicant will not be using a trade name, skip to question no. 2.a.): Trade

Name: N/A

c. The District of Columbia and other states, in which the Applicant has provided retail natural gas service under the current Applicant name or in a different name but has voluntarily or involuntarily surrendered its license. Describe reasons for license surrender. With regard to a voluntary or involuntary license surrender in the District of Columbia only, state whether any previously outstanding assessments and/or penalties imposed by the Commission and the Office of the People's Counsel have been paid. If any previous assessments and/or penalties are unpaid, provide a date certain when those assessments and/or penalties will be paid. Applicant may limit response to the last five (5) years:

State(s): N/A

Date of License Surrender and Reasons for License Surrender:  
N/A

In the District of Columbia, Amount of Paid Assessments and Unpaid Assessments/Penalties Following License Surrender and to Whom Owed (If Applicable)

N/A  
\_\_\_\_\_  
\_\_\_\_\_

Attach additional sheets to the application if necessary.

**2.a. CONTACT PERSON-REGULATORY CONTACT:**

Name and Title: C. Thomas Schmidt, Owner/Inside Council

Address: 7880 San Felipe Suite 210  
Houston, TX 77063

Telephone: ( ) 713.568.4898  
Fax: ( ) 815.301.9000  
E-mail: tom@justviv.com

**b. CONTACT PERSON-CUSTOMER SERVICE and CONSUMER COMPLAINTS (not required for Aggregators who do not take title and/orBrokers):**

Name and Title: Edward Cuyatti / Manger Client Relations & Operations

Address: 7880 San Felipe St, Suite 210, Houston TX 77063

Telephone: ( ) 844-707-5757  
Fax: ( )       
E-mail: ed@myutiliz.com

**3. RESIDENT AGENT:**

Name and Title: Registered Agents, Inc.

Address: 1717 N Street NW Suite 1 Washington, District of Columbia, 20036

Telephone: (800) 345-2677  
Fax: (302)645-1280  
E-mail: report@registeredagentsinc.com

**4. PRIMARY COMPANY OFFICIALS**

President/General Partners:  
Name(s) Rita Karpel, President

Business Address: 7880 San Felipe Suite 210, Houston TX 77063

\_\_\_\_\_  
\_\_\_\_\_

CEO/Managing Partner: Name(s) C. Thomas Schmidt, CEO

Business Address: 7880 San Felipe Suite 210  
Houston, TX 77063

\_\_\_\_\_  
\_\_\_\_\_

Secretary Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Treasurer Name: Phillip Wills / CFO

Business Address: 7880 San Felipe Suite 210

Houston, TX 77063  
\_\_\_\_\_  
\_\_\_\_\_

a. **APPLICANT'S BUSINESS FORM: (select and complete appropriate statement)**

- Proprietorship
- Corporation
- Partnership
- Limited Partnership
- Limited Liability Company
- Limited Liability Partnership
- Other \_\_\_\_\_

b. **STATE OF FORMATION: Applicant's business is formed under the laws of the State of** Delaware

\_\_\_\_\_

- c. **STATUS: Provide a certificate issued by the state of formation certifying that the Applicant is in good standing and qualified to do business in the state of formation.**

If formed under the laws of other than the District of Columbia, provide a certificate issued by the District of Columbia Department of Consumer and Regulatory Affairs (DCRA) certifying that the applicant is registered or qualified, to do business in the District of Columbia and is currently in good standing with DCRA and with the District Department of Finance and Revenue.

Attachment 1 – Certificate of Good Standing, State of Delaware

Attachment 2 – Notice of Business Registration, Government of the District of Columbia

- d. **OWNERSHIP:** Provide on a separate sheet the names and addresses of all persons and entities that directly or indirectly own ten percent (10%) or more of the ownership interests in the Applicant, or have the right to vote ten percent (10%) or more in the Applicant's voting securities, or who otherwise have the power to control ten percent (10%) or more of the Applicant.

Attachment 3 – Ownership and Control

3. **AFFILIATES, OR PREDECESSOR(S), ENGAGED IN THE SALE OR TRANSMISSION OF ELECTRICITY AT WHOLESALE OR RETAIL TO THE PUBLIC:** (select and complete appropriate statement) (Applicant may limit responses to the last five (5) years).

a. The Applicant has no such Affiliate(s) or Predecessors(s).

\_\_\_\_\_

b. The Applicant is an Affiliate of a regulated utility. Please provide regulated utility's Name and the jurisdictions in which it operates:

\_\_\_\_\_

c. The Affiliate(s), or Predecessor(s), other than a regulated utility that provides, or provided, sale or transmission of electricity at wholesale or retail to the public:

Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

License #, State of Issuance: \_\_\_\_\_

Location of Operations (Utility Service Territory): \_\_\_\_\_

Attach additional sheets to the application if necessary.

**5. ACTIONS AGAINST LICENSEES:** Provide the following information for the Applicant, any Predecessor(s), and any unregulated Affiliate that engages in or engaged in the sale or transportation/delivery of natural gas at wholesale or retail to the public. (Applicant may limit responses to the last five (5) years).

- Identify all actions against the Licensee, Predecessor or any regulated or unregulated affiliate(s) such as Suspensions/Revocations/Limitations/ Reprimands/Fines and describe the action in an attached statement, including docket numbers, offense dates, and case numbers, if applicable. Formal Investigations (defined as those investigations formally instituted in a public forum by way of the filing of a complaint, show cause order, or similar pleading) instituted by any regulatory agency or law enforcement agency relating to the Applicant, Predecessor(s), or unregulated affiliate(s) if, as a result of the investigation, Applicant's/Predecessor's/or affiliate's license to provide service to the public was in jeopardy are also listed. The license number, state of issuance, and name of license are identified below:

State(s): N/A

Name(s): \_\_\_\_\_

License Number(s) (or other applicable identification): \_\_\_\_\_

No such action has been taken.

**6. RELIABILITY AND ENVIRONMENTAL OFFICIAL ACTIONS AGAINST APPLICANTS/AFFILIATES:** Provide the following information for Official Actions that have been taken against the Applicant, any Predecessor(s), and any unregulated Affiliate (if available to the Applicant) that engages in the retail or wholesale sale of natural gas for matters relating to environmental or reliability status for the past five years.

- Official Actions such as Suspensions/Revocations/Limitations/ Reprimands/Fines/Regulatory Investigations (state agencies, FERC, EPA, or other federal agencies have been taken against the Applicant, any Predecessor(s) or unregulated affiliate(s), and are described in the attached statement, including docket numbers, offense dates, and case numbers, if applicable.

State(s): \_\_\_\_\_

Name(s): \_\_\_\_\_

No such action has been taken.



- Aggregator acting on behalf of Customers to purchase natural gas and does not take title to natural gas.
- Broker acting as an agent or intermediary on behalf of Customers in the sale and purchase of natural gas and who does not take title to natural gas.

Which natural gas supply related service(s) does the Applicant offer?

- Billing
- Other (Please specify the nature of such other services in an attached statement.)

Does Applicant intend to offer competitive billing services? No

Is the Applicant proposing to offer any other services? No  
 If so, please provide information regarding the proposed service in an attached statement.

**10. AREA OF OPERATION:** If the Applicant does not intend to offer services throughout the Washington Gas Light Company territory in the District of Columbia, Applicant must, in an attached statement, describe in detail the area within the Natural Gas Company's service territory in which Applicant's services will be offered.

- Applicant intends to offer service throughout the Washington Gas Light Company territory in the District of Columbia.
- Applicant intends to offer services in only a portion of Washington Gas Light Company's service territory in the District of Columbia. Please see attached statement.

**11. CUSTOMERS:** Applicant proposes to initially provide services to (check all that apply):

- Residential Customers
- Commercial Customers
- Industrial Customers
- Other (Describe in attachment)

Also, Applicant proposes:

- Restrictions upon the number of end use Customers (Describe in attachment)

- No restrictions on the number of end use Customers.
- Restrictions upon the size of end use Customers (Describe in attachment).
- No restrictions regarding the size of the end use Customers (Describe in attachment).
- Other restrictions regarding Customers (Describe in attachment).

**12. START DATE:** The Applicant proposes to begin delivering services:

- Upon approval of the Application and receipt of License.
- Other approximate date of commencement.

**FINANCIAL INTEGRITY**

**13. REQUIRED DOCUMENTATION OF FINANCIAL INTEGRITY:**

Check that the documents listed below are attached to the Application.

The Applicant shall provide the most recent versions of the following documents to the extent they are available:

- Credit reports or ratings prepared by established credit bureaus or agencies regarding the Applicant's payment and credit history.
- Balance sheets, income statements and statements of cash flow for the two (2) most recent 12-month periods for which information is available. Audited financial statements must be provided if they exist. In addition, the Applicant shall provide any financial statements subsequent to the most recent annual financial statements.
  - In the event that a parent or other company, person or entity has undertaken to guarantee the financial integrity of the Applicant, the Applicant must submit such entity's balance sheet, income statement and statement of cash flow, together with documentation of such guarantee to insure the financial integrity of the Applicant. Audited financial statements must be provided if they exist. In addition, the Applicant shall provide any available quarterly financial statements subsequent to the most recent annual financial statements.
  - If the Applicant, parent, or guarantor entity has not been in existence for at least two (2) twelve (12)-month periods, it must provide balance sheets, income

statements and statements of cash flow for the life of the business. Audited financial statements must be provided if they exist.

- Organizational structure of Applicant. Include Applicant's parent, affiliate(s), and subsidiary(ies) if any.
- Evidence of general liability insurance.
- If the Applicant has engaged in the retail supply of natural gas services in any other jurisdiction, evidence that the Applicant is a licensed supplier in good standing in those jurisdictions.
- A current long-term bond rating, or other senior debt rating.
- Any other evidence of financial integrity such as an unused line of bank creditor parent guarantees.

Attachment 6 – Financial Integrity (Confidential Materials)

## **14. BONDING REQUIREMENTS**

### **Integrity Bond**

An Applicant who cannot provide credible evidence that it meets the financial integrity standards listed in Section 4705 of Chapter 47 of Title 15 DCMR must submit a bond on the form attached to this Application ("Integrity Bond"). The Applicant, if licensed by the Commission as a natural gas supplier, may be required to update/revise this initial Integrity Bond, by revising the initial Integrity Bond or posting an additional Integrity Bond, as set forth in Section 4705.

However, an Applicant who can provide credible evidence that it meets the financial integrity standards listed in Section 4705 will not be required to submit an Integrity Bond. (The Applicant may still be required to submit a separate Customer Payments Bond, as discussed below.)

Attachment 5 – Integrity Bond in the amount of \$10,000

### **Customer Payments Bond**

A separate bond on the appropriate form attached to this Application is mandatory if an Applicant requires prepayments and/or deposits from residential or small commercial Customers ("Customer Payments Bond"). Please check one of the boxes below to state whether you, the Applicant, intend to charge, collect, or hold prepayments and/or deposits, as such terms are defined in the Bonding Requirements Addendum attached to this Application:

- Applicant will not accept prepayments or deposits from residential and small commercial Customers.

- Applicant intends to accept prepayments or deposits and/or deposits from residential and small commercial Customers. Applicant must comply with Bonding Requirements Addendum governing the Customer Payment Bond.

Further details regarding the District of Columbia's bonding requirements are included in Sections 4704 and 4705 of Chapter 47 of Title 15 DCMR.

**15. NOTICE OF REQUIRED COMPLIANCE:** The Applicant is hereby notified that it is required to comply with the following:

- (a) The Applicant may be required to submit bond(s), as applicable as described in Section 15 herein.
- (b) The Applicant must update this application with the Commission immediately if any of the information provided in this Application changes or an error or inaccuracy is noted during the pendency of the Application. After an Application has been approved, a Licensee must inform the Commission of changes to all parts of the application and the averment regarding any civil, criminal, or regulatory penalties, etc. imposed on applicant, *et al.* within thirty days of the change or an error or inaccuracy is noted. A Licensee must inform the Commission of changes to the averment regarding bankruptcy proceedings instituted voluntarily or involuntarily within one business day of the institution of such proceedings.
- (c) Supplement this application in the event the Commission modifies the licensing requirements, or request further information.
- (d) Agree that it will not present itself as a licensed retail supplier of natural gas in the District of Columbia, sell or market services, accept deposits, prepayments, or contract with any end-use Customers without a license from the Commission.
- (e) Pay all fees imposed by the Commission and any applicable taxes.
- (f) Ensure that a copy of each service agreement entered into with the Washington Gas Light Company is provided to the Commission.
- (g) Attend a Natural Gas Suppliers Education Workshop sponsored by the Commission.
- (h) If certified, the Applicant shall institute a Privacy Protection Policy to protect against the unauthorized disclosure or use of information about a Customer or a Customer's use of service. A copy of that Policy shall be

made available once a year, including any updates or changes, through electronic means or a hardcopy to the Customer and posted in a prominent place on each company's website.

- (i) Abide by 15 DCMR § 308 and not disclose information about a Customer or the Customer's use of natural gas or natural gas services without the Customer's written consent.
- (j) Agrees to comply with 15 DCMR § 4702.11 Natural Gas Company and Licensee Responsibilities in the event of a default after certification, and with the District of Columbia Natural Gas Supplier Coordination Tariff.

**16. AFFIDAVITS REQUIRED.** The Applicant must supply Affidavits of Tax Compliance and General Compliance to the Commission with the completed Application. The affidavits are included with this Application packet and must be executed by the Applicant or representative with authority to bind the Applicant in compliance with District of Columbia laws.

**17. FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

**18. FEE:** The Applicant has enclosed the required fee of \$400.00.

Applicant: Utiliz Services LLC

By:  \_\_\_\_\_

Printed Name: C. Thomas Schmidt

Title: CEO

**AFFIDAVIT OF TAX COMPLIANCE**

State of Connecticut

:

County of Fairfield

: SS

:

C. Thomas Schmidt, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

That he/she is the CEO (office of Affiant) of Utiliz Services, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant:

That Utiliz Services LLC, the Applicant herein, certifies to the Public Service Commission of the District of Columbia ("Commission") that it is subject to, will pay, and in the past has paid, the full amount of District of Columbia and Federal taxes imposed by applicable statutes and ordinances, as may be amended from time to time. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of the District of Columbia, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall provide to the Commission its jurisdictional Gross Receipts and revenues from retail sales in the District, for the previous year or as otherwise required by the Commission.

As provided by applicable Law, Applicant, by filing of this application waives confidentiality with respect to its tax information in the possession of the (appropriate taxing authority), regardless of the source of the information, and shall consent to the (appropriate taxing authority) providing that information to the Commission. The Commission shall retain such information confidentially. This does not constitute a waiver of the confidentiality of such information with respect to any party other than the Commission.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

[Signature]  
Signature of Affiant

Sworn and subscribed before me this 27 day of November, 2023

[Signature]  
Signature of official administering



My commission expires May 31st, 2028

**AFFIDAVIT OF GENERAL COMPLIANCE**

State of Connecticut :  
County of Fairfield : ss

C. Thomas Schmidt, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He/she is the CEO (Officer/Affiant) of Utiliz Services, LLC (Name of Applicant).

That he/she is authorized to and does make this affidavit for said Applicant.

That the Applicant herein certifies to the Public Service Commission of the District of Columbia ("Commission") that:

The Applicant agrees to comply with the terms and conditions of Potomac Electric Power's Company's tariff and agreements.

The Applicant is in compliance with and agrees to comply with all applicable Federal and District of Columbia consumer protection and environmental laws and regulations, and Commissions regulations, fees, assessments, order and requirements.

If certified, the Applicant shall institute a Privacy Protection Policy to protect against the unauthorized disclosure or use of information about a Customer or a Customer's use of service. A copy of that Policy shall be made available once a year, including any updates or changes, through electronic means or a hardcopy to the Customer and posted in a prominent place on each company's website.

The Applicant also agrees to abide by 15 DCMR § 308 and refrain from disclosing information about a Customer or a Customer's use of service without the Customer's written consent.

Applicant agrees, upon request by the Commission, to provide copies to the Commission, of its consumer forms and/or contracts, its marketing or advertising materials (flyers and solicitation scripts), consumer pamphlets and its consumer education materials.

Applicant agrees to abide by any periodic reporting requirements set by the Commission by regulation, including any required periodic reporting to the (appropriate taxing authority).

The Applicant has obtained all the licenses and permits required to operate the proposed business in the District of Columbia.

The Applicant agrees to comply with power pool, control area, regional transmission operator, and/or ISO standards and requirements, as applicable.

The Applicant agrees that it shall neither disclose nor resell customer data provided to the Applicant by Potomac Electric Power Company.

The Applicant agrees, if the Commission approves its Application, to post an appropriate bond or other form of financial guarantee as required by the Commission and its regulations.

If the Applicant is certified, but later defaults, the Licensee/Supplier agrees to comply with 15 DCMR § 4602.12, Electric Company and Licensee Responsibilities in the event of a default, and with the District of Columbia Electricity Supplier Coordination Tariff.

The Applicant agrees, pursuant to the requirements of § 4603, to complete the Electricity Supplier Education Workshop sponsored by the Commission. Successful completion of the Workshop by the Licensee shall be evidenced by a certificate issued by the Commission.

The Applicant, including any of its Predecessor(s) and/or affiliate that engages in or engaged in the sale or transmission of electricity at wholesale or retail to the public, the general partners, company officials, corporate officers or directors, or limited liability company managers or officers of the Applicant, its predecessor(s) or its affiliates:

1. Has had no civil, criminal or regulatory sanctions or Penalties imposed against it within the previous five (5) years pursuant to any state or federal consumer protection law or regulations, has not been convicted of any fraud-related crime (including, but not limited to, counterfeiting and forgery, embezzlement and theft, fraud and false statements, perjury, and securities fraud) within the last five (5) years; and has not ever been convicted of a felony; or alternatively.
2. Has disclosed by attachment all such sanctions, penalties or convictions.

The Applicant further certifies that it:

1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier; and
2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or state bankruptcy law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admits in writing its inability to pay its debt

generally as they become due to consent to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property.

That Applicant possesses the requisite managerial and financial fitness to provide service at retail in the District of Columbia.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.



Signature of Affiant

Sworn and subscribed before me this 27 day of November, 2023.



Signature of official administering oath



My commission expires May 31<sup>st</sup>, 2028.

VERIFICATION

State of Connecticut :  
County of Fairfield : ss

C. Thomas Schmidt, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He/she is the CEO (Officer/Affiant) of Utiliz Services LLC  
(Name of Applicant);

That he/she is authorized to and does make this affidavit for said corporation;

The Applicant understands that the making of a false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to all applicable sections of the District of Columbia Code as may be amended from time to time relating to perjury and falsification in official matters.

That the Applicant will supplement this Application in the event the Public Service Commission of the District of Columbia ("Commission") modifies the licensing requirements, or requests further information.

That the Applicant agrees that it will not present itself as a licensed retail supplier of electricity in the District of Columbia, sell or market electricity, accept deposits, prepayments, or contract with any end-use customers without a license from the Commission.

That the Applicant agrees to update information contained in this Application in accordance with the schedule set forth in the Application.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

[Signature]  
Signature of Affiant

Sworn and subscribed before me this 27 day of November 23

A. [Signature]  
Signature of official administering oath

My commission expires May 31st, 2028



**APPLICANT'S GENERAL AUTHORIZATION FOR VERIFICATION OF FINANCIAL INFORMATION, ETC.**

**TO WHOM IT MAY CONCERN:**

I/We have applied to the District of Columbia Public Service Commission (the "Commission") for a license to be an Electricity Supplier, or to provide certain Electricity Supply related services, and authorize you to release to the Staff of the Commission and its authorized representatives and agents any information or copies of records requested concerning:

MY COMPANY OR BUSINESS AND ITS HISTORY, PERFORMANCE, OPERATIONS, CUSTOMER RELATIONS, FINANCIAL CONDITION, INCLUDING BANK ACCOUNT TRANSACTIONS AND BALANCES, PAYMENT HISTORY WITH SUPPLIERS AND OTHER CREDITORS, VERIFICATION OF NET WORTH AND OTHER INFORMATION AND RECORDS WHICH THE COMMISSION REQUIRES TO VERIFY OR MAKE INQUIRY CONCERNING MY/OUR FINANCIAL INTEGRITY AND THE INFORMATION CONTAINED IN MY/OUR LICENSE APPLICATION OR OTHER INFORMATION PROVIDED BY ME/US TO THE COMMISSION OR, STAFF OF THE COMMISSION OR ITS REPRESENTATIVES OR AGENTS.

This Authorization is continuing in nature and includes release of information following issuance of a license, for reverification, quality assurance, internal review, etc. The information is for the confidential use of the Commission and the Staff of the Commission in determining my/our financial integrity for being a licensee or to confirm information I/We have supplied and may not be released by order of the Commission or by order of a court of competent jurisdiction.

A photographic or fax copy of this authorization may be deemed to be the equivalent of the original and may be used as a duplicate original. The original signed form is maintained by the Staff of the Commission.

**APPLICANT'S AUTHORIZATION TO RELEASE INFORMATION:**

Utiliz Services, LLC by C. Thomas Schmidt  
**APPLICANT (please print)**

  
**APPLICANT'S SIGNATURE**

11-27-23  
**DATE**

CEO  
**TITLE**

# Delaware

## The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "UTILIZ SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTEENTH DAY OF MARCH, A.D. 2023.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "UTILIZ SERVICES, LLC" WAS FORMED ON THE NINETEENTH DAY OF AUGUST, A.D. 2021.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



  
Jeffrey W. Bullock, Secretary of State

6177621 8300

SR# 20230998743

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202919564

Date: 03-15-23

# State Of Delaware

## Entity Details

10/12/2023 10:22:06AM

File Number: 6177621

Incorporation Date / Formation Date: 8/19/2021

Entity Name: UTILIZ SERVICES, LLC

Entity Kind: Limited Liability Company

Entity Type: General

Residency: Domestic

State: DELAWARE

Status: Good Standing

Status Date: 8/19/2021

### Registered Agent Information

Name: HARVARD BUSINESS SERVICES, INC.

Address: 16192 COASTAL HWY

City: LEWES

Country:

State: DE

Postal Code: 19958

Phone: 302-645-7400

### Tax Information

Last Annual Report Filed: 0

Tax Due: \$ 0

Annual Tax Assessment: \$300

Total Authorized Shares:

### Filing History (Last 5 Filings)

Seq	Description	No of Pages	Filing Date mm/dd/yyyy	Filing Time	Effective Date mm/dd/yyyy
1	LLC	1	8/19/2021	9:44 AM	8/19/2021

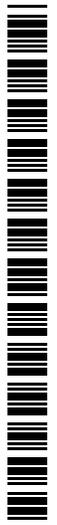


Date of Notice: September 28, 2023

Notice Number: L0010244377

UTILIZ SERVICES LLC  
7880 SAN FELIPE ST STE 210  
HOUSTON TX 77063-1631

FEIN: \*\*-\*\*\*1507  
Customer ID: 00016-19285



**NOTICE OF BUSINESS TAX REGISTRATION**

You have been registered for the tax(es) shown below. Your filing basis has been determined as shown. It is important that the Employer Identification Number (FEIN) or Social Security Number (SSN) referenced above be used on all correspondence and returns.

**If you registered for an Employer Withholding account, please include the associated Account ID Number listed below on all returns and payments.**

<b>Tax Type</b>	<b>Account ID</b>	<b>Filing/Payment Frequency</b>	<b>Tax Year End</b>
Corporation	250-001753252	Annual	December
Personal Property	400-001753253	Annual	June

For tax forms or to register to file or pay electronically, please visit our website at [MyTax.DC.gov](http://MyTax.DC.gov)

If applicable you will also be registered for an Employer Use Tax (Form FR800A Sales & Use tax return). The Employer Use Tax Return Act of 2012 requires a use tax to be imposed on any employer required to file a DC withholding tax return, which is not otherwise required to collect and remit sales tax.

If applicable you will also be registered for Unemployment Compensation Taxes and will be contacted by the DC Department of Employment Services Office of Unemployment Compensation regarding your filing requirements. Any questions concerning your liability for Unemployment Compensation may be answered by calling (202) 698-7550.



A Declaration of Estimated Franchise Tax (Form D-20 ES or D-30 ES) must be filed by every corporation and unincorporated business whose franchise tax may reasonably be expected to exceed \$1,000 for the taxable year.

For additional information or questions, call the Office of Tax and Revenue's (OTR) Customer Service Administration at (202) 727-4TAX (4829), or visit OTR's Walk-In Center:

Office of Tax and Revenue  
Customer Service Administration  
1101 4th St SW, Ste W270  
Washington, DC 20024

COPY

### **Attachment # 3**

Washington D.C. Electric Broker License Application  
Utiliz Services LLC  
Ownership and Control

*OWNERSHIP: Provide on a separate sheet the names and addresses of all persons and entities that directly or indirectly own ten percent (10%) or more of the ownership interests in the Applicant, or have the right to vote ten percent (10%) or more in the Applicant's voting securities, or who otherwise have the power to control ten percent (10%) or more of the Applicant.*

Utiliz Services, LLC is owned 100% by Utility Rescue Holdings, Inc. Tom Schmidt, CEO, is the person with authority to act on behalf of the company.

Utility Rescue Holdings, Inc.  
7880 San Felipe  
Suite 210  
Houston, TX 77063

**Utiliz Services LLC**  
**Technical and Managerial Competency**

- Utiliz Services LLC's predecessor, Utiliz LLC has been in the electric and / or natural gas business since inception on April 27<sup>th</sup>, 2016.
- The Utiliz Services LLC service offering is management of retail suppliers on behalf of our Customers or direct placement with competitive suppliers, including:
  - Identification of retail supplier and plan to best fit our customer
  - Automatic enrollment of customers onto plans
  - Querying customer usage history periodically
  - Monitoring of market prices and plan availability to ensure clients remain on competitive plans.
  - Negotiating plan rates on behalf of our clients
  - Re-enrolling a customer automatically when their current enrollment is about to end.
  - Moving customers to standard service temporarily if we can't find them a better deal in the retail market.
  - Handling first line support for our customers
  - Working closely with state regulators to help improve the deregulated energy markets in their states
- The management team brings over 20 years' experience building technology solutions and working with highly efficient trading markets and high customer volume. The executive team brings over 18 years experience in the deregulated energy market.
- Utiliz Services LLC employs an existing team of front and back office team members who are responsible for processing online customer enrollments, responding to customer inquiries via chat, e-mail and phone, facilitating customer renewals and liaising with retail suppliers. These team members bring 21 years of utility and retail energy supplier customer service experience.
- Attached are the resumes of C. Thomas Schmidt, CEO and Rita Karpel, President of Utiliz Services, LLC.

# C. THOMAS SCHMIDT

7880 San Felipe, Suite 210  
Houston, Texas 77002  
(713) 568-4899  
tom@ctschmidt.com

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## EDUCATION

**UNIVERSITY OF TEXAS SCHOOL OF LAW**, Austin, Texas.  
Juris Doctorate, With Honors.

Associate Editor, Texas Law Review  
Contributing writer, Assault & Flattery Musical *Greed*  
Co-founder and Editor-in-Chief, *Life in the Power Zone*, Class Newspaper  
Member, Environmental Law Society

**UNIVERSITY OF PENNSYLVANIA**, Philadelphia, Pennsylvania.  
Bachelor of Arts in Political Science/International Politics.

Undergraduate thesis in international politics

## ACHIEVEMENTS

Texas Super Lawyer  
Member, Multi-Million Dollar Advocates Forum  
Eagle Scout

## LEGAL CAREER

**SCHMIDT LAW FIRM, PLLC** Houston, Texas  
Founding Partner July 2010 to present

**FRIDGE & RESENDEZ, LLC** Houston, Texas  
Partner March 2007 to July 2010

**SCHMIDT & HOFFER LLP** Houston, Texas  
Founding Partner April 2000 to March 2007

**HICKS THOMAS & LILIENSTERN, L.L.P.** Houston, Texas  
Attorney February 1999 to April 2000

**CLEMENTS, O'NEILL, PIERCE  
& NICKENS, L.L.P.** Houston, Texas  
Attorney June 1996 to February 1999

## NON-LEGAL EXPERIENCE

**UTILIZ SERVICES, LLC** Houston, Texas  
Co-Founder & General Counsel July 2021 to present  
Electricity and Natural Gas Broker

**PULSE POWER, LLC** The Woodlands, Texas  
Co-Founder & General Counsel August 2018 to December 2021  
Retail Electricity Provider

**UNIVERSAL SMART CONTRACTS** Casper, Wyoming  
Co-Founder & CEO June 2018 to present  
Blockchain Software Development

**CITY INFO EXPERTS, LLC**  
Founder & CEO  
Sightseeing Tour Reseller

Houston, Texas  
July 2015 to present

**GRATEFUL AMERICANS CHARITY**  
Co-Founder & General Counsel  
Charity benefiting veterans with PTSD

Houston, Texas  
May 2015 to present

**ONBOARD TOURS**  
Co-Founder & CEO  
Sightseeing Tour Operator

New York City & Washington DC  
February 2004 to present

**INTERCONTINENTAL TOWER CORP.**  
Co-Founder & General Counsel  
Cellular Telephone Tower Management

Sao Paulo, Brazil  
February 2001 to May 2006

**PRELECTRIC ENERGY SERVICES, LLC**  
Co-Founder & President  
Retail Electricity Provider

Houston, Texas  
June 2004 to September 2009



**Rita Karpel** (832) 459-7335 • [rita.karpel@gmail.com](mailto:rita.karpel@gmail.com)

**PROFILE**

Entrepreneurial executive, decision maker, with more than 28 years of experience of equity raising, public and private equity, branding, building books of business, PR, managing sales teams, marketing, strategic alliances/partners, operations, executive radio producer, business development and event planning for high net worth profile and boutique financial institutions, planners and brokers.

Keen negotiating skills, decision maker, and exemplary customer service techniques with a record of building and retaining high net worth client relationships, developing and maintaining media relationships and strategic partnerships. Successful in identifying opportunities for accelerated growth. Self-starter, decision make, and flexible work environment.

Sales and General Management Qualifications:

- Key Client Management
- Sales and Business Development
- Strategic and Market Planning
- Contract / Price Negotiation
- Compliance Coordination
- Presentations and Training
- Sponsorship Building
- Press and Media Relations

**PROFESSIONAL EXPERIENCE**

**Utility Rescue Holdings, LLC** **Jan 2021 - Present**  
**Vice President of Marketing & Investor Relations**

**JustViv, LLC** **August 2021 – Present**

**Ticker Tape Investments, LLC** **December 2015 - Present**  
**Managing Partner**

**BBHC (Bourbon Brothers Holding Company)** **January 2015 – March 2015**  
**Vice President of Corporate Finance**

**Consultant** **September 2013 - Present**  
**VP, Sales, Marketing, Public, Private**  
**Equity, Sales, PR, Business Development**

<b>National Eagle &amp; Angels Association</b> <b>2014 Managing Director of Sales &amp; Marketing, PR</b> <b>Executive Producer Radio Show</b>	<b>September 2011 – December</b>
<b>Robertson Wealth Management</b> <b>2011 Director of Investor &amp; Client Relations</b> <b>Director of Sales and Marketing</b> <b>Executive Producer of Straight Talk Radio Show</b>	<b>September 2010 – September</b>
<b>FJS&amp;K, LLC/ BizRadio Network – Houston, TX</b>  <b>2010 Vice President of Sales &amp; Marketing, PR, Media</b>	<b>September 2006 – April</b>
<b>Brewer Investment Group – Chicago, IL</b> <b>Vice President of Marketing &amp; Sales</b>	<b>2004 - 2006</b>
<b>Refco, Ltd.</b> <b>Vice President of Corporate IB Division</b>	<b>2004</b>
<b>Man Financial, Inc. – Chicago, IL</b> <b>Business Development Manager, Event Coordinator</b> <b>&amp; Senior Account Executive</b>	<b>2000 - 2004</b>
<b>Zap Futures, LLC. - Chicago, IL</b> <b>Director of Marketing and Senior Account Executive</b>	<b>1997 - 1999</b>
<b>Bressert Investment Group - Chicago, IL</b> <b>Vice President</b>	<b>1996 - 1997</b>
<b>Robbins Trading Company - Chicago, IL</b> <b>Sales Manager and Senior Account Executive</b>	<b>1986 - 1996</b>
<b>Heinold Commodities, Inc. - Chicago, IL</b>	<b>1982 - 1986</b>
<b>Pioneer Commodities, Inc – Chicago, IL</b>	<b>1982</b>

**ATTACHMENT D**

**FORM OF INTEGRITY BOND  
FOR AGGREGATORS AND BROKERS**

**INTEGRITY BOND-SURETY BOND**

**Bond No.** TCS19435

We,

Utiliz Services LLC

(Name of supplier)

7880 San Felipe St Ste 210, Houston, TX 77063-1631

(Address of supplier)

as principal, and

Hudson Insurance Company

(Surety Company)

1035 Greenwood Blvd Ste 265, Lake Mary, FL 32746-5422

(Address of surety)

as surety authorized to do business in the District of Columbia, are held and firmly bound to the Public Service Commission of the District of Columbia, as obligee for the use and benefit of all persons establishing legal rights hereunder, in the sum of TEN THOUSAND 00/100 (\$10,000) lawful money of the United States of America, to the payments of which sum, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly, severally, and firmly by this document.

WHEREAS, the Principal has applied to the Public Service Commission of the District of Columbia for a license to provide natural gas service to retail Customers in the District of Columbia, and

WHEREAS, pursuant to the Retail Natural Gas Licensing and Consumer Protection Act of 2004, the Public Service Commission of the District of Columbia is authorized to require the Principal to maintain a bond in order to provide retail natural gas service.

NOW, THEREFORE, if the Principal shall faithfully and truly fulfill all of its service or product contracts and other contractual commitments to deliver retail natural gas services, and not file for bankruptcy or for similar protection under law, then this obligation shall be void, otherwise to remain in full force and effect as security for the use of the Public Service Commission of the District of Columbia or of any person or entity, who after entering into a service or product contract or third party supplier agreement for service in the District of Columbia with the above named Principal is actually and directly damaged or suffers any actual or direct loss by reason of failure of service or by other breach or bankruptcy by this Principal.

The aggregate liability of the Surety is limited to the foregoing sum which sum shall be reduced by any payment made in good faith hereunder.

The term of this bond is for the period beginning 9/27/2023 12:00:00 AM and terminating 9/26/2024 11:59:59 PM, and may be continued for an annual period by Continuation Certificate signed by the Principal and Surety, a copy of which must be served by registered mail upon the Secretary of the Public Service Commission of the District of Columbia.

In order to draw funds on this Bond, the Public Service Commission of the District of Columbia shall issue an order stating that the Licensee is financially insolvent or unable to meet its obligations as for restitution to any Licensee's Customer who has suffered actual damages or loss of a deposit or prepayment (as such terms defined in Sections 4704 and 4705 of Chapter 47 of Title 15 DCMR) in a specific amount by means of failure, or by reason of breach of contract or violation of the Retail Natural Gas Licensing and Consumer Protection Act of 2004 and/or regulations, rules or standards promulgated pursuant thereto.

SIGNED, SEALED AND DATED this 6th day of October 2023

Principal: Utiliz Services LLC

By: \_\_\_\_\_  
(Signatory)

Surety: Utiliz Services LLC

SpecialValueSeal

Address of Surety: Hudson Insurance Company  
Lake Mary, FL 32746-5422

\_\_\_\_\_

\_\_\_\_\_

By:   
(Signatory) Eric Fauerbach, Attorney-in-

Notary Seal





### POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That HUDSON INSURANCE COMPANY, a corporation of the State of Delaware, with offices at 100 William Street, New York, New York, 10038, has made, constituted and appointed, and by these presents, does make, constitute and appoint

Eric Fauerbach

of the State of South Carolina

its true and lawful Attorney(s)-in-Fact, at New York, New York, each of them alone to have full power to act without the other or others, to make, execute and deliver on its behalf, as Surety, bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking shall obligate said Company for any portion of the penal sum thereof in excess of the sum of

Ten Thousand Dollars and Zero Cents

Such bonds and undertakings when duly executed by said Attorney(s)-in-Fact, shall be binding upon said Company as fully and to the same extent as if signed by the President of said Company under its corporate seal attested by its Secretary.

In Witness Whereof, HUDSON INSURANCE COMPANY has caused these presents to be of its Senior Vice President thereunto duly signed, on this 2nd day of June, 2022 at New York, New York.



Attest: *Dina Daskalakis*  
Dina Daskalakis No. 01MU6067553  
Corporate Secretary

HUDSON INSURANCE COMPANY  
By: *Michael P. Cifone*  
Michael P. Cifone  
Senior Vice President

STATE OF NEW YORK  
COUNTY OF NEW YORK. SS.

On the 2nd day of June, 2022 before me personally came Michael P. Cifone to me known, who being by me duly sworn did depose and say that he is a Senior Vice President of HUDSON INSURANCE COMPANY, the corporation described herein and which executed the above instrument, that he knows the seal of said Corporation, that the seal affixed to said instrument is such corporate seal, that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereto by like order.

(Notarial Seal)



*Ann M. Murphy*  
ANN M. MURPHY  
Notary Public, State of New York  
No. 01MU6067553  
Qualified in Nassau County  
Commission Expires December 10, 2025

### CERTIFICATION

STATE OF NEW YORK  
COUNTY OF NEW YORK. SS.

The undersigned Dina Daskalakis hereby certifies:

That the original resolution, of which the following is a true and correct copy, was duly adopted by unanimous written consent of the Board of Directors of Hudson Insurance Company dated July 27<sup>th</sup>, 2007, and has not since been revoked, amended or modified:

“RESOLVED, that the President, the Executive Vice Presidents, the Senior Vice Presidents and the Vice Presidents shall have the authority and discretion, to appoint such agent or agents, or attorney or attorneys-in-fact, for the purpose of carrying on this Company’s surety business, and to empower such agent or agents, or attorney or attorneys-in-fact, to execute and deliver, under this Company’s seal or otherwise, bonds obligations, and recognizances, whether made by this Company as surety thereon or otherwise, indemnity contracts, contracts and certificates, and any and all other contracts and undertakings made in the course of this Company’s surety business, and renewals, extensions, agreements, waivers, consents or stipulations regarding undertakings so made; and

FURTHER RESOLVED, that the signature of any such Officer of the Company and the Company’s seal may be affixed by facsimile to any power of attorney or certification given for the execution of any bond, undertaking, recognizance, contract of indemnity or other written obligation in the nature thereof or related thereto, such signature and seal when so used whether heretofore or hereafter, being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed.”

THAT the above and foregoing is a full, true and correct copy of Power of Attorney issued by said Company, and of the whole of the original and that the said Power of Attorney is still in full force and effect and has not been revoked, and furthermore that the Resolution of the Board of Directors, set forth in the said Power of Attorney is now in force.

Witness the hand of the undersigned and the seal of said Corporation this 6th day of October, 2023.



By: *Dina Daskalakis*  
Dina Daskalakis, Corporate Secretary



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**Utiliz Services: Account Activity Transaction Details**

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**Post date:** 12/15/2023

**Amount:** -400.00

**Type:** Transfer

**Description:** TRANSFER UTILIZ SERVICES LLC:PSC of the  
Diistrict Confirmation# 1767107427

**Merchant name:** UTILIZ SERVICES LLC:PSC OF THE DISTRICT  


**Merchant information:** Application fee for Gas Broker/Aggregator License

**Transaction category:** Uncategorized: Uncategorized