

**ATTACHMENT A**

**APPLICATION FOR LICENSE TO SUPPLY ELECTRICITY  
OR ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE  
DISTRICT OF COLUMBIA**

You may use the attached form to submit your application. (Please remove this instruction sheet prior to filing.) If you need more space than is provided on this form, then you can create an attachment to this application. You may also attach exhibits. All attachments/exhibits must be labeled or tabbed to identify the application item to which they respond. You are also required to file an electronic version of this document (excluding "confidential" information) which must be converted to the Portable Document Format ("PDF") before filing.

To file an application with the District of Columbia Public Service Commission ("Commission"), file a signed and verified original and an electronic version of your application and attachments, and a nonrefundable license fee of four hundred dollars (\$400.00) (payable to "D.C. Public Service Commission") with the Commission Secretary in Washington, D.C.:

**Commission Secretary  
Public Service Commission of the District of Columbia  
1325 G Street, N.W., Suite 800  
Washington, D.C. 20005**

Questions pertaining to the completion of this application may be directed to the Commission at the above address or you may call the Commission at the following number: (202) 626-5100. You may reach the Commission electronically at [psc-commissionsecretary@dc.gov](mailto:psc-commissionsecretary@dc.gov).

If your answer to any of the Application questions changes during the pendency of your Application, or if the information relative to any item herein changes while you are operating within the District of Columbia, you are under a duty to so inform the Commission immediately. After an Application has been approved a Licensee must inform the Commission of changes to all parts of the Application and the averment regarding any civil, criminal or regulatory penalties, etc. imposed on Applicant, *et al.* must be updated. A Licensee must inform the Commission of changes to the averment regarding bankruptcy proceedings instituted voluntarily or involuntarily within one business day of the institution of such proceedings. A Licensee/Electricity Supplier also is required to officially notify the Commission if it plans to cease doing business in the District of Columbia sixty (60) days prior to ceasing operations.

Confidentiality: Sections 4d and 14 of this Application related to ownership of the Applicant (to the extent such information is not already public) and financial information, respectively, will be treated as confidential information by the Commission to the extent permitted by law if the Applicant requests such treatment by stamping or marking the materials in question as "CONFIDENTIAL." Any interested person may request, however, release of this information by filing such a request with the Commission. If such a request is made, Applicant shall have the burden of proving the confidential nature of the information. The Commission will notify the

Applicant of any request for release of this information, and will permit the Applicant the opportunity to respond to the request through written motion filed with the Commission prior to the Commission's determination on the request.

If you are applying to provide service as an Aggregator or as a Broker (as defined in Commission regulations), who do take title to electricity as a part of providing that service, you do not need to fill out certain questions in this Application. The exempted questions are marked.

Applicable law: The provisions set forth in this application related to the licensing of Electricity Suppliers and the provision of electricity and electric generation services are addressed in detail in the "Retail Electric Competition and Consumer Protection Act of 1999," and in Commission orders and regulations.

Statements made in this Application are made under penalty of perjury (D.C. Code Section 22-2402), false swearing (D.C. Code Section 22-2404), and false statements (D.C. Code Section 22-2405). Perjury is punishable by a fine of up to five thousand dollars (\$5,000) or imprisonment for up to ten (10) years, or both. False statements are punishable by a fine not more than one thousand dollars (\$1,000) or imprisonment for not more than one hundred eighty (180) days, or both. Further amendments to these Code sections shall apply. If the Commission has reliable information that an Applicant has violated any or all of these sections of the Code, the Commission will forward the information to the appropriate law enforcement agency. Statements made in this Application are also subject to Commission regulations, which require the Applicant to certify the truthfulness of the contents of this Application. Any Applicant in violation of these regulations is subject to the penalties found in the "Retail Electric Competition and Consumer Protection Act of 1999," D.C. Code Section 34-1508.

**BEFORE THE DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION**

Application Docket No. \_\_\_\_\_

Application of Legend Energy Advisors, LLC, d/b/a (“doing business as”)

Legend Energy Advisors, LLC

for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker, [specified in item 10 below] to the public in the District of Columbia.

To the District of Columbia Public Service Commission:

**BUSINESS INFORMATION**

**1. IDENTITY OF THE APPLICANT:**

a. **Legal Name** Legend Energy Advisors, LLC

Current Mailing Address: 2200 Post Oak Blvd. Suite 1000,  
Houston, TX 77056

Street Address (if different): 2200 Post Oak Blvd. Suite 1000, Houston, TX 77056

Telephone Number: 281-310-8554

Website URL: www.legendenergyadvisors.com

Other States, including District of Columbia, in which the Applicant is now or has been engaged in the retail sale of electricity and the names under which the Applicant is engaged or has been engaged in such business(es) Applicant may limit response to the last three (3) years:

Name: Legend Energy Advisors, LLC

Business Address: 2200 Post Oak Blvd. Suite 1000, Houston, TX 77056

License # State of Issuance: Please see attached for existing license list

Other states in which the Applicant has applied to provide retail electric service but has been rejected. Applicant may limit response to the last three (3) years:

State(s): Please see attached for existing license list

Date of Application: Please see attached for existing license list

Attach additional sheets to the application if necessary.

**b. Trade name** (If Applicant will not be using a trade name, skip to question no. 2.a.):

Trade Name: N/A

**c.** The District of Columbia and other states, in which the Applicant has provided retail electric under the current Applicant name or in a different name but has voluntarily or involuntarily surrendered its license. Describe reasons for license surrender. With regard to a voluntary or involuntary license surrender in the District of Columbia only, state whether any previously outstanding assessments and/or penalties imposed by the Commission and the Office of the People's Counsel have been paid. If any previous assessments and/or penalties are unpaid, provide a date certain when those assessments and/or penalties will be paid. Applicant may limit response to the last five (5) years:

State(s): n/a

Date of License Surrender and Reasons for License Surrender:  
n/a

In the District of Columbia, Amount of Paid Assessments and Unpaid Assessments/Penalties Following License Surrender and to Whom Owed (If Applicable)

n/a  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Attach additional sheets to the application if necessary.

2.

**a. CONTACT PERSON-REGULATORY CONTACT:**

Name and Title: Matthew Fair, Director / AND initial App contact: CT Corp c/o Owen Clifford

Address: 2200 Post Oak Blvd Ste 1000, Houston, TX 77056,  
\_\_\_\_\_  
\_\_\_\_\_

Telephone: ( ) 214.396.9300,

Fax: ( ) \_\_\_\_\_

E-mail: mfair@legendea.com / Owen.Clifford@WoltersKluwer.com

**b. CONTACT PERSON-CUSTOMER SERVICE and CONSUMER COMPLAINTS (not required for Aggregators who do not take title and/or Brokers):**

Name and Title: N/A - Broker

Address: N/A - Broker

Telephone: ( ) N/A - Broker

Fax: ( ) \_\_\_\_\_

E-mail: N/A - Broker

**3. RESIDENT AGENT:**

Name and Title: C T Corporation System, Registered Agent

Address: 1015 15th Street N.W., Suite 1000, Washington, D.C., 20005

Telephone: ( ) 202-572-3133

Fax: ( ) \_\_\_\_\_

E-mail: CLS-CTWashingtonDCFulfillment@wolterskluwer.com

**4. PRIMARY COMPANY OFFICIALS**

President/General Partners: Name(s) Daniel Crosby, CEO

Business Address: 2200 Post Oak Blvd. STE 1000, Houston, TX 77056  
\_\_\_\_\_  
\_\_\_\_\_

CEO/Managing Partner: Name Daniel Crosby, CEO

Business Address: 2200 Post Oak Blvd. STE 1000, Houston, TX 77056

\_\_\_\_\_

\_\_\_\_\_

Secretary Name: n/a

Business Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Treasurer Name: n/a

Business Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**a. APPLICANT'S BUSINESS FORM: (select and complete appropriate statement)**

- Proprietorship
- Corporation
- Partnership
- Limited Partnership
- Limited Liability Company
- Limited Liability Partnership
- Other \_\_\_\_\_

**b. STATE OF FORMATION: Applicant's business is formed under the laws of the**

**State of** Wyoming - 4/23/2014

**c. STATUS: Provide a certificate issued by the state of formation certifying that the Applicant is in good standing and qualified to do business in the state of formation.**

If formed under the laws of other than the District of Columbia, provide a certificate issued by the District of Columbia Department of Consumer and Regulatory Affairs (DCRA) certifying that the applicant is registered or qualified, to do business in the District of Columbia and is currently in good standing with DCRA and with the District Department of Finance and Revenue.

- d. OWNERSHIP:** Provide on a separate sheet the names and addresses of all persons and entities that directly or indirectly own ten percent (10%) or more of the ownership interests in the Applicant, or have the right to vote ten percent (10%) or more in the Applicant's voting securities, or who otherwise have the power to control ten percent (10%) or more of the Applicant.

**5. AFFILIATES, OR PRECEDECESSOR(S), ENGAGED IN THE SALE OR TRANSMISSION OF ELECTRICITY AT WHOLESALE OR RETAIL TO THE PUBLIC:** (select and complete appropriate statement) (Applicant may limit responses to the last five (5) years).

- a. The Applicant has no such Affiliate(s) or Predecessors(s).

\_\_\_\_\_ The Applicant has no such Affiliate(s) or Predecessors(s).  
\_\_\_\_\_

- b. The Applicant is an Affiliate of a regulated utility. Please provide regulated utility's Name and the jurisdictions in which it operates:

\_\_\_\_\_ The Applicant has no such Affiliate(s) or Predecessors(s).  
\_\_\_\_\_

- c. The Affiliate(s), or Predecessor(s), other than a regulated utility that provides, or provided, sale or transmission of electricity at wholesale or retail to the public:

**Name:** \_\_\_\_\_ The Applicant has no such Affiliate(s) or Predecessors(s).  
\_\_\_\_\_

**Business Address:** \_\_\_\_\_ The Applicant has no such Affiliate(s) or Predecessors(s).  
\_\_\_\_\_  
\_\_\_\_\_

**License #, State of Issuance:** \_\_\_\_\_ The Applicant has no such Affiliate(s) or Predecessors(s).  
\_\_\_\_\_

**Location of Operations (Utility Service Territory):** \_\_\_\_\_ The Applicant has no such Affiliate(s) or Predecessors(s).  
\_\_\_\_\_

**Attach additional sheets to the application if necessary.**

**6. ACTIONS AGAINST LICENSEES:** Provide the following information for the Applicant, any Predecessor(s), and any unregulated Affiliate that engages in or engaged in the sale or transmission of electricity at wholesale or retail to the public. (Applicant may limit responses to the last five (5) years).

- Identify all actions against the Licensee, Predecessor or any regulated or unregulated affiliate(s) such as Suspensions/Revocations/Limitations/Reprimands/Fines and describe the action in an attached statement, including docket numbers, offense dates, and case numbers, if applicable. Formal Investigations (defined as those investigations formally instituted in a public forum by way of the filing of a complaint, show cause order, or similar pleading) instituted by any regulatory agency or law enforcement agency relating to the Applicant, Predecessor(s), or unregulated affiliate(s) if, as a result of the investigation, Applicant's/Predecessor's/or affiliate's license to provide service to the public was in jeopardy are also listed. The license number, state of issuance, and name of license are identified below:

**State(s):** The Applicant has no such actions, suspensions, revocations, limitations, reprimands,

**Name(s):**  fines as noted above.

**License Number(s) (or other applicable identification):**

- No such action has been taken.

**7. FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission ("FERC") to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number:  
\_\_\_\_\_
- Not Applicable.

### **OPERATIONAL CAPABILITY**

**8. ISO/RTO AFFILIATION:** Provide evidence that the Applicant has met all applicable requirements of any ISO and/or RTO for its use by the Applicant. Indicate the evidence provided (not required for aggregators who do not take title and/or brokers).

Evidence of having met all applicable requirements of the PJM Interconnection, L.L.C. or another RTO or ISO (Attach evidence of being a signatory to all applicable agreements).

**9. SOURCE OF SUPPLY:** (Check all that apply) (not required for aggregators who do not take title and/or brokers)

- Not applicable. Applicant will not be supplying retail electricity.
- Applicant owns generation.
- Applicant contracts for generation.
- Applicant obtains generation on the spot market.
- Other – Applicant must attach a statement detailing its source of Generation.

**SCOPE OF OPERATIONS**

(Check all that apply)

**10. APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Generator of electricity in the wholesale or retail market.
- Marketer of electricity purchasing and taking title to electricity as an intermediary for sale to customers.
- Aggregator acting on behalf of customers to purchase electricity.
- Broker acting as an agent or intermediary on behalf of customers in the sale and purchase of electricity and who does not take title to electricity.

Does Applicant intend to offer competitive billing services? NO

Is the Applicant proposing to offer any other services? NO

If so, please provide information regarding the proposed service in an attached statement.

**11. AREA OF OPERATION:** If the Applicant does not intend to offer services throughout the Potomac Electric Power Company territory in the District of Columbia, Applicant must, in an attached statement, describe in detail the area within the Electric Company's service territory in which Applicant's services will be offered.

- Applicant intends to offer service throughout the Potomac Electric Power Company territory in the District of Columbia.

- Applicant intends to offer services in only a portion of Potomac Electric Power Company's service territory in the District of Columbia. Please see attached statement.

**12. CUSTOMERS:** Applicant proposes to initially provide services to (check all that apply):

- Residential Customers  
 Commercial Customers  
 Industrial Customers  
 Other (Describe in attachment)

Also, Applicant proposes:

- Restrictions upon the number of end use customers (Describe in attachment).  
 No restrictions on the number of end use customers.  
 Restrictions upon the size of end use customers (Describe in attachment).  
 No restrictions regarding the size of the end use customers (Describe in attachment).  
 Other restrictions regarding customers (Describe in attachment).

**13. START DATE:** The Applicant proposes to begin delivering services:

- Upon approval of the Application and receipt of License.  
 Other approximate date of commencement.

**FINANCIAL INTEGRITY**

**14. REQUIRED DOCUMENTATION OF FINANCIAL INTEGRITY:**

Check that the documents listed below are attached to the Application.

The Applicant shall provide the most recent versions of the following documents to the extent they are available:

- Credit reports or ratings prepared by established credit bureaus or agencies regarding the Applicant's payment and credit history.

- Balance sheets, income statements and statements of cash flow for the two (2) most recent twelve (12)-month periods for which information is available. Audited financial statements must be provided if they exist. In addition, the Applicant shall provide any financial statements subsequent to the most recent annual financial statements.
- In the event that a parent or other company, person or entity has undertaken to guarantee the financial integrity of the Applicant, the Applicant must submit such entity's balance sheet, income statement and statement of cash flow, together with documentation of such guarantee to insure the financial integrity of the Applicant. Audited financial statements must be provided if they exist. In addition, the Applicant shall provide any available quarterly financial statements subsequent to the most recent annual financial statements.
- If the Applicant, parent, or guarantor entity has not been in existence for at least two (2) twelve (12)-month periods, it must provide balance sheets, income statements and statements of cash flow for the life of the business. Audited financial statements must be provided if they exist.
- Organizational structure of Applicant. Include Applicant's parent, affiliate(s), and subsidiary(ies) if any.
- Evidence of general liability insurance.
- If the Applicant has engaged in the retail supply of electricity supply services in any other jurisdiction, evidence that the Applicant is a licensed supplier in good standing in those jurisdictions.
- A current long-term bond rating, or other senior debt rating.
- Any other evidence of financial integrity such as an unused line of bank credit or parent guarantees.

## **15. BONDING REQUIREMENTS**

### **Integrity Bond**

An Applicant who cannot provide credible evidence that it meets the financial integrity standards listed in Section 4605 of Chapter 46 of Title 15 DCMR must submit a bond on the form attached to this Application ("Integrity Bond"). The Applicant, if licensed by the Commission as an electricity supplier, may be required to update/revise this initial Integrity Bond, by revising the initial Integrity Bond or posting an additional Integrity Bond, as set forth in Section 4605.

However, an Applicant who can provide credible evidence that it meets the financial integrity standards listed in Section 4605 will not be required to submit an Integrity Bond. (The Applicant may still be required to submit a separate Customer Payments Bond, as discussed below.).

### **Customer Payments Bond**

A separate bond on the appropriate form attached to this Application is mandatory if an Applicant requires prepayments and/or deposits from residential or small commercial customers (“Customer Payments Bond”). Please check one of the boxes below to state whether you, the Applicant, intend to charge, collect, or hold prepayments and/or deposits, as such terms are defined in the Bonding Requirements Addendum attached to this Application:

- Applicant will not accept prepayments or deposits from residential and small commercial customers.
- Applicant intends to accept prepayments or deposits and/or deposits from residential and small commercial customers. Applicant must comply with Bonding Requirements Addendum governing the Customer Payment Bond.

Further details regarding the District of Columbia’s bonding requirements are included in Sections 4604 and 4605 of Chapter 46 of Title 15 DCMR.

**16. NOTICE OF REQUIRED COMPLIANCE:** The Applicant is hereby notified that it is required to comply with the following:

- a. The Applicant may be required to submit bond(s), as applicable as described in Section 15 herein.
- b. The Applicant must update this application with the Commission immediately if any of the information provided in this Application changes or an error or inaccuracy is noted during the pendency of the Application. After an Application has been approved, a Licensee must inform the Commission of changes to all parts of the application and the averment regarding any civil, criminal, or regulatory penalties, etc. imposed on applicant, *et al.* within thirty days of the change or an error or inaccuracy is noted. A Licensee must inform the Commission of changes to the averment regarding bankruptcy proceedings instituted voluntarily or involuntarily within one business day of the institution of such proceedings.
- c. Supplement this application in the event the Commission modifies the licensing requirements, or request further information.

- d. Agree that it will not present itself as a licensed retail supplier of electricity in the District of Columbia, sell or market services, accept deposits, prepayments, or contract with any end-use Customers without a license from the Commission.
- e. Pay all fees imposed by the Commission and any applicable taxes.
- f. Ensure that a copy of each service agreement entered into with Potomac Electric Power Company is provided to the Commission.
- g. Attend an Electricity Suppliers Education Workshop sponsored by the Commission.
- h. If certified, the Applicant shall institute a Privacy Protection Policy to protect against the unauthorized disclosure or use of information about a Customer or a Customer's use of service. A copy of that Policy shall be made available once a year, including any updates or changes, through electronic means or a hardcopy to the Customer and posted in a prominent place on each company's website.
- i. Abide by 15 DCMR § 308 and refrain from disclosing information about a Customer or the Customer's use of electricity or electric generation services without the Customer's written consent.
- j. Agrees to comply with 15 DCMR § 4602.12 Electric Company and Licensee Responsibilities in the event of a default after certification, and with the District of Columbia Electricity Supplier Coordination Tariff.

**17. AFFIDAVITS REQUIRED.** The Applicant must supply Affidavits of Tax Compliance and General Compliance to the Commission with the completed Application. The affidavits are included with this Application packet and must be executed by the Applicant or representative with authority to bind the Applicant in compliance with District of Columbia laws.

**18. FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

**19. FEE:** The Applicant has enclosed the required fee of \$400.00.

Applicant: Legend Energy Advisors, LLC

By:  \_\_\_\_\_

Printed Name: Daniel Crosby

Title: CEO

**AFFIDAVIT OF TAX COMPLIANCE**

State of \_\_\_\_\_ :  
County of \_\_\_\_\_ : SS

Daniel Crosby, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

That he/she is the CEO (office of Affiant) of Legend Energy Advisors (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant:  
Legend Energy Advisors

That \_\_\_\_\_, the Applicant herein, certifies to the Public Service Commission of the District of Columbia ("Commission") that it is subject to, will pay, and in the past has paid, the full amount of District of Columbia and Federal taxes imposed by applicable statutes and ordinances, as may be amended from time to time. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of the District of Columbia, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall provide to the Commission its jurisdictional Gross Receipts and revenues from retail sales in the District, for the previous year or as otherwise required by the Commission.

As provided by applicable Law, Applicant, by filing of this application waives confidentiality with respect to its tax information in the possession of the (appropriate taxing authority), regardless of the source of the information, and shall consent to the (appropriate taxing authority) providing that information to the Commission. The Commission shall retain such information confidentially. This does not constitute a waiver of the confidentiality of such information with respect to any party other than the Commission.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant Daniel Crosby

Sworn and subscribed before me this 29th day of January 2022.

[Signature]  
Signature of official administering oath

My commission expires 3/19/27.





The Applicant agrees to comply with power pool, control area, regional transmission operator, and/or ISO standards and requirements, as applicable.

The Applicant agrees that it shall neither disclose nor resell customer data provided to the Applicant by Potomac Electric Power Company.

The Applicant agrees, if the Commission approves its Application, to post an appropriate bond or other form of financial guarantee as required by the Commission and its regulations.

If the Applicant is certified, but later defaults, the Licensee/Supplier agrees to comply with 15 DCMR § 4602.12, Electric Company and Licensee Responsibilities in the event of a default, and with the District of Columbia Electricity Supplier Coordination Tariff.

The Applicant agrees, pursuant to the requirements of § 4603, to complete the Electricity Supplier Education Workshop sponsored by the Commission. Successful completion of the Workshop by the Licensee shall be evidenced by a certificate issued by the Commission.

The Applicant, including any of its Predecessor(s) and/or affiliate that engages in or engaged in the sale or transmission of electricity at wholesale or retail to the public, the general partners, company officials, corporate officers or directors, or limited liability company managers or officers of the Applicant, its predecessor(s) or its affiliates:

1. Has had no civil, criminal or regulatory sanctions or Penalties imposed against it within the previous five (5) years pursuant to any state or federal consumer protection law or regulations, has not been convicted of any fraud-related crime (including, but not limited to, counterfeiting and forgery, embezzlement and theft, fraud and false statements, perjury, and securities fraud) within the last five (5) years; and has not ever been convicted of a felony; or alternatively.
2. Has disclosed by attachment all such sanctions, penalties or convictions.

The Applicant further certifies that it:

1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier; and
2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or state bankruptcy law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admits in writing its inability to pay its debt

generally as they become due to consent to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property.

That Applicant possesses the requisite managerial and financial fitness to provide service at retail in the District of Columbia.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Signature of Affiant

Sworn and subscribed before me this 27<sup>th</sup> day of JANUARY 2021.

  
Signature of official administering oath



My commission expires 3/19/21.

**VERIFICATION**

State of \_\_\_\_\_ :

: ss

County of \_\_\_\_\_ :

Daniel Crosby \_\_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He/she is the CEO (Officer/Affiant) of Legend Energy Advisors, LLC  
(Name of Applicant);

That he/she is authorized to and does make this affidavit for said corporation;

The Applicant understands that the making of a false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to all applicable sections of the District of Columbia Code as may be amended from time to time relating to perjury and falsification in official matters.

That the Applicant will supplement this Application in the event the Public Service Commission of the District of Columbia ("Commission") modifies the licensing requirements, or requests further information.

That the Applicant agrees that it will not present itself as a licensed retail supplier of electricity in the District of Columbia, sell or market electricity, accept deposits, prepayments, or contract with any end-use customers without a license from the Commission.

That the Applicant agrees to update information contained in this Application in accordance with the schedule set forth in the Application.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Daniel Crosby  
Signature of Affiant

Sworn and subscribed before me this 29<sup>th</sup> day of JANUARY, 2021

[Signature]  
Signature of official administering oath

My commission expires 3/19/27



**APPLICANT'S GENERAL AUTHORIZATION FOR VERIFICATION OF  
FINANCIAL INFORMATION, ETC.**

**TO WHOM IT MAY CONCERN:**

I/We have applied to the District of Columbia Public Service Commission (the "Commission") for a license to be an Electricity Supplier, or to provide certain Electricity Supply related services, and authorize you to release to the Staff of the Commission and its authorized representatives and agents any information or copies of records requested concerning:

MY COMPANY OR BUSINESS AND ITS HISTORY,  
PERFORMANCE, OPERATIONS, CUSTOMER RELATIONS,  
FINANCIAL CONDITION, INCLUDING BANK ACCOUNT  
TRANSACTIONS AND BALANCES, PAYMENT HISTORY  
WITH SUPPLIERS AND OTHER CREDITORS,  
VERIFICATION OF NET WORTH AND OTHER  
INFORMATION AND RECORDS WHICH THE COMMISSION  
REQUIRES TO VERIFY OR MAKE INQUIRY CONCERNING  
MY/OUR FINANCIAL INTEGRITY AND THE INFORMATION  
CONTAINED IN MY/OUR LICENSE APPLICATION OR  
OTHER INFORMATION PROVIDED BY ME/US TO THE  
COMMISSION OR, STAFF OF THE COMMISSION OR ITS  
REPRESENTATIVES OR AGENTS.

This Authorization is continuing in nature and includes release of information following issuance of a license, for reverification, quality assurance, internal review, etc. The information is for the confidential use of the Commission and the Staff of the Commission in determining my/our financial integrity for being a licensee or to confirm information I/We have supplied and may not be released by order of the Commission or by order of a court of competent jurisdiction.

A photographic or fax copy of this authorization may be deemed to be the equivalent of the original and may be used as a duplicate original. The original signed form is maintained by the Staff of the Commission.

**APPLICANT'S AUTHORIZATION TO RELEASE INFORMATION:**

Legend Energy Advisors, LLC - c/o Daniel Crosby, CEO

**APPLICANT (please print)**

  
**APPLICANT'S SIGNATURE**

1/29/24  
**DATE**

CEO

**TITLE**



**A1SuretyBonds.com**

11205 LEBANON RD #13  
MOUNT JULIET, TN 37122

# Surety Bond Receipt

Date: 2/20/2024

To: Legend Energy Advisors LLC

2200 POST OAK BLVD SUITE 1000

HOUSTON

Texas

77056

District of Columbia Integrity Bond for  
Electricity Aggregators and Brokers

Thank you for your recent purchase! Attached you will find your which you will need to sign if/where indicated and turn into the entity requiring the bond. We highly recommend you keep a copy of the bond for your records.

Bond Number:	TCS23169
Bond Amount:	\$10,000.00
Bond Premium:	\$250.00
Shipping	\$0.00
Credit Card fee for amounts over \$1000:	\$0.00
Payment Received:	\$250.00

For future reference, when calling our office or in correspondence, please reference your **Account ID # 192535**

*Please help us keep your information up to date by advising if there are any changes to your account (address, phone, email, etc.) Furthermore, if there has been any material change in ownership, business name or bond requirement, please let us know.*

Thank you for using A1SuretyBonds.com for all of your bonding needs!



ATTACHMENT E

**FORM OF INTEGRITY BOND FOR AGGREGATORS AND BROKERS**

**INTEGRITY BOND-SURETY BOND**

Bond No. TCS23169

We,

LEGEND ENERGY ADVISORS, LLC

(Name of supplier)

2200 POST OAK BLVD SUITE 1000, HOUSTON, TX 77056

(Address of supplier)

as principal, and

Hudson Insurance Company

(Surety Company)

8777 N Gainey Center Dr Ste 240, Scottsdale, AZ 85258-2154

(Address of surety)

as surety authorized to do business in the District of Columbia, are held and firmly bound to the Public Service Commission of the District of Columbia, as obligee for the use and benefit of all persons establishing legal rights hereunder, in the sum of TEN THOUSAND 00/100 (\$ 10,000) lawful money of the United States of America, to the payments of which sum, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly, severally, and firmly by this document.

WHEREAS, the Principal has applied to the Public Service Commission of the District of Columbia for a license to provide electric service to retail customers in the District of Columbia, and

WHEREAS, pursuant to the Retail Electric Competition and Consumer Protection Act of 1999, D.C. Law 13-107, Section 105, the Public Service Commission of the District of Columbia is authorized to require the Principal to maintain a bond in order to provide retail electric service.

NOW, THEREFORE, if the Principal shall faithfully and truly fulfill all of its service or product contracts and other contractual commitments to deliver retail electric services, and not file for bankruptcy or for similar protection under law, then this obligation shall be void, otherwise to remain in full force and effect as security for the use of the Public Service Commission of the District of Columbia or of any person or entity, who after entering into a service or product contract or third party supplier agreement for service in the District of

Columbia with the above named Principal is actually and directly damaged or suffers any actual or direct loss by reason of failure of service or by other breach or bankruptcy by this Principal.

The aggregate liability of the Surety is limited to the foregoing sum which shall be reduced by any payment made in good faith hereunder.

The term of this bond is for the period beginning 2/8/2024 and terminating 2/7/2025, and may be continued for an annual period by Continuation Certificate signed by the Principal and Surety, a copy of which must be served by registered mail upon the Secretary of the Public Service Commission of the District of Columbia.

In order to draw funds on this Bond, the Secretary of the Public Service Commission of the District of Columbia shall present the following document to the Surety, and attach thereto documentation in support thereof:

Affidavit sworn to and signed by the Secretary of the Public Service Commission of the District of Columbia, stating that at the public hearing, on \_\_\_\_\_, the Public Service Commission of the District of Columbia determined that LEGEND ENERGY ADVISORS, LLC has not satisfactorily performed its obligations a person or entity; who has suffered actual and direct damages or loss a specific amount by means of failure, or by reason of breach of contract or violation of the Retail Competition and Consumer Protection Act of 1999, D.C. Law 13-107, and/or regulations, rules or standards promulgated pursuant thereto.

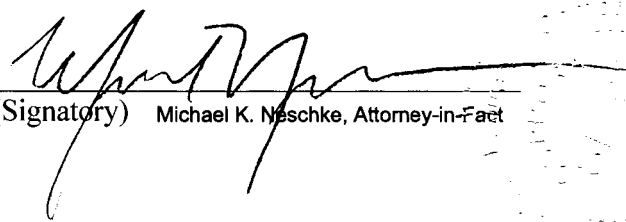
SIGNED, SEALED AND DATED this 20th day of February, 2024

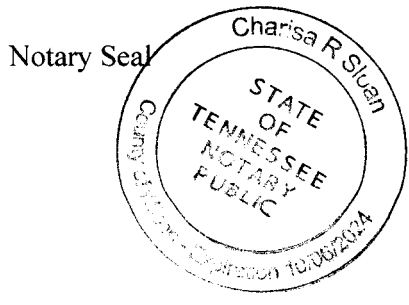
Principal: LEGEND ENERGY ADVISORS, LLC

By: \_\_\_\_\_  
(Signatory)

Surety: Hudson Insurance Company

Address of Surety: 8777 N Gainey Center Dr Ste 240  
Scottsdale, AZ 85258-2154

By:   
(Signatory) Michael K. Meschke, Attorney-in-Fact





POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That HUDSON INSURANCE COMPANY, a corporation of the State of Delaware, with offices at 100 William Street, New York, New York, 10038, has made, constituted and appointed, and by these presents, does make, constitute and appoint

Michael K. Neschke

of the State of Tennessee

its true and lawful Attorney(s)-in-Fact, at New York, New York, each of them alone to have full power to act without the other or others, to make, execute and deliver on its behalf, as Surety, bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking shall obligate said Company for any portion of the penal sum thereof in excess of the sum of

Ten Thousand Dollars and Zero Cents

Such bonds and undertakings when duly executed by said Attorney(s)-in-Fact, shall be binding upon said Company as fully and to the same extent as if signed by the President of said Company under its corporate seal attested by its Secretary.

In Witness Whereof, HUDSON INSURANCE COMPANY has caused these presents to be of its Senior Vice President thereunto duly d, on this 2nd day of June, 20 22 at New York, New York.



: seal)

HUDSON INSURANCE COMPANY

Attest: Dina Daskalakis No. 01MU6067553 Corporate Secretary

By: Michael P. Cifone Senior Vice President

STATE OF NEW YORK COUNTY OF NEW YORK SS.

On the 2nd day of June, 20 22 before me personally came Michael P. Cifone to me known, who being by me duly sworn did depose and say that he is a Senior Vice President of HUDSON INSURANCE COMPANY, the corporation described herein and which executed the above instrument, that he knows the seal of said Corporation, that the seal affixed to said instrument is such corporate seal, that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereto by like order.

(Notarial Seal)



ANN M. MURPHY Notary Public, State of New York No. 01MU6067553 Qualified in Nassau County Commission Expires December 10, 2025

CERTIFICATION

STATE OF NEW YORK COUNTY OF NEW YORK SS.

The undersigned Dina Daskalakis hereby certifies:

That the original resolution, of which the following is a true and correct copy, was duly adopted by unanimous written consent of the Board of Directors of Hudson Insurance Company dated July 27th, 2007, and has not since been revoked, amended or modified:

RESOLVED, that the President, the Executive Vice Presidents, the Senior Vice Presidents and the Vice Presidents shall have the authority and discretion, to appoint such agent or agents, or attorney or attorneys-in-fact, for the purpose of carrying on this Company's surety business, and to empower such agent or agents, or attorney or attorneys-in-fact, to execute and deliver, under this Company's seal or otherwise, bonds obligations, and recognizances, whether made by this Company as surety thereon or otherwise, indemnity contracts, contracts and certificates, and any and all other contracts and undertakings made in the course of this Company's surety business, and renewals, extensions, agreements, waivers, consents or stipulations regarding undertakings so made; and

FURTHER RESOVLED, that the signature of any such Officer of the Company and the Company's seal may be affixed by facsimile to any power of attorney or certification given for the execution of any bond, undertaking, recognizance, contract of indemnity or other written obligation in the nature thereof or related thereto, such signature and seal when so used whether heretofore or hereafter, being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed."

THAT the above and foregoing is a full, true and correct copy of Power of Attorney issued by said Company, and of the whole of the original and that the said Power of Attorney is still in full force and effect and has not been revoked, and furthermore that the Resolution of the Board of Directors, set forth in the said Power of Attorney is now in force.

Witness the hand of the undersigned and the seal of said Corporation this 20th day of February, 20 24



seal)

By: Dina Daskalakis, Corporate Secretary



**A1SuretyBonds.com**

11205 LEBANON RD #13  
MOUNT JULIET, TN 37122

# Surety Bond Receipt

Date: 2/20/2024

To: Legend Energy Advisors LLC

2200 POST OAK BLVD SUITE 1000

HOUSTON

Texas

77056

## District of Columbia Integrity Bond for Gas

### Aggregators and Brokers

Thank you for your recent purchase! Attached you will find your Aggregators and Brokers which you will need to sign if/where indicated and turn into the entity requiring the bond. We highly recommend you keep a copy of the bond for your records.

Bond Number:	TCS23163
Bond Amount:	\$10,000.00
Bond Premium:	\$250.00
Shipping	\$0.00
Credit Card fee for amounts over \$1000:	\$0.00
Payment Received:	\$250.00

For future reference, when calling our office or in correspondence, please reference your **Account ID # 192536**

*Please help us keep your information up to date by advising if there are any changes to your account (address, phone, email, etc.) Furthermore, if there has been any material change in ownership, business name or bond requirement, please let us know.*

Thank you for using A1SuretyBonds.com for all of your bonding needs!



**ATTACHMENT D**

**FORM OF INTEGRITY BOND  
FOR AGGREGATORS AND BROKERS**

**INTEGRITY BOND-SURETY BOND**

**Bond No.** TCS23163

We,  
LEGEND ENERGY ADVISORS, LLC

(Name of supplier)

2200 POST OAK BLVD SUITE 1000, HOUSTON, TX 77056

(Address of supplier)

as principal, and

Hudson Insurance Company

(Surety Company)

8777 N Gainey Center Dr Ste 240, Scottsdale, AZ 85258-2154

(Address of surety)

as surety authorized to do business in the District of Columbia, are held and firmly bound to the Public Service Commission of the District of Columbia, as obligee for the use and benefit of all persons establishing legal rights hereunder, in the sum of TEN THOUSAND 00/100 (\$10,000) lawful money of the United States of America, to the payments of which sum, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly, severally, and firmly by this document.

WHEREAS, the Principal has applied to the Public Service Commission of the District of Columbia for a license to provide natural gas service to retail Customers in the District of Columbia, and

WHEREAS, pursuant to the Retail Natural Gas Licensing and Consumer Protection Act of 2004, the Public Service Commission of the District of Columbia is authorized to require the Principal to maintain a bond in order to provide retail natural gas service.

NOW, THEREFORE, if the Principal shall faithfully and truly fulfill all of its service or product contracts and other contractual commitments to deliver retail natural gas services, and not file for bankruptcy or for similar protection under law, then this obligation shall be void, otherwise to remain in full force and effect as security for the use of the Public Service Commission of the District of Columbia or of any person or entity, who after entering into a service or product contract or third party supplier agreement for service in the District of Columbia with the above named Principal is actually and directly damaged or suffers any actual or direct loss by reason of failure of service or by other breach or bankruptcy by this Principal.

The aggregate liability of the Surety is limited to the foregoing sum which sum shall be reduced by any payment made in good faith hereunder.

The term of this bond is for the period beginning 2/8/2024 12:00:00 AM and terminating 2/7/2025 11:59:59 PM, and may be continued for an annual period by Continuation Certificate signed by the Principal and Surety, a copy of which must be served by registered mail upon the Secretary of the Public Service Commission of the District of Columbia.

In order to draw funds on this Bond, the Public Service Commission of the District of Columbia shall issue an order stating that the Licensee is financially insolvent or unable to meet its obligations as for restitution to any Licensee's Customer who has suffered actual damages or loss of a deposit or prepayment (as such terms defined in Sections 4704 and 4705 of Chapter 47 of Title 15 DCMR) in a specific amount by means of failure, or by reason of breach of contract or violation of the Retail Natural Gas Licensing and Consumer Protection Act of 2004 and/or regulations, rules or standards promulgated pursuant thereto.

SIGNED, SEALED AND DATED this 20th day of February 2024

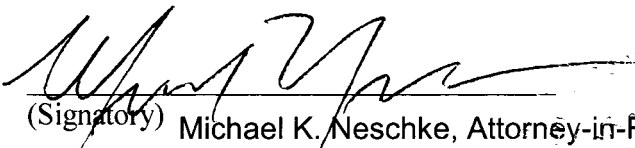
Principal: LEGEND ENERGY ADVISORS, LLC

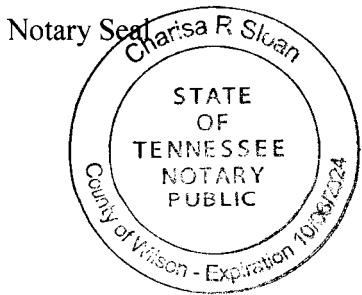
By: \_\_\_\_\_  
(Signatory)

Surety: LEGEND ENERGY ADVISORS, LLC

SpecialValueSeal

Address of Surety: Hudson Insurance Company  
Scottsdale, AZ 85258-2154

By:   
(Signatory) Michael K. Neschke, Attorney-in-Fact





POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That HUDSON INSURANCE COMPANY, a corporation of the State of Delaware, with offices at 100 William Street, New York, New York, 10038, has made, constituted and appointed, and by these presents, does make, constitute and appoint

Michael K. Neschke

of the State of Tennessee

its true and lawful Attorney(s)-in-Fact, at New York, New York, each of them alone to have full power to act without the other or others, to make, execute and deliver on its behalf, as Surety, bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking shall obligate said Company for any portion of the penal sum thereof in excess of the sum of

Ten Thousand Dollars and Zero Cents

Such bonds and undertakings when duly executed by said Attorney(s)-in-Fact, shall be binding upon said Company as fully and to the same extent as if signed by the President of said Company under its corporate seal attested by its Secretary.

In Witness Whereof, HUDSON INSURANCE COMPANY has caused these presents to be of its Senior Vice President thereunto duly d, on this 2nd day of June, 20 22 at New York, New York.



Attest: Dina Daskalakis

Dina Daskalakis No. 01MU6067553 Corporate Secretary

HUDSON INSURANCE COMPANY

By: Michael P. Cifone Senior Vice President

STATE OF NEW YORK COUNTY OF NEW YORK SS.

On the 2nd day of June, 20 22 before me personally came Michael P. Cifone to me known, who being by me duly sworn did depose and say that he is a Senior Vice President of HUDSON INSURANCE COMPANY, the corporation described herein and which executed the above instrument, that he knows the seal of said Corporation, that the seal affixed to said instrument is such corporate seal, that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name thereto by like order.

(Notarial Seal)



ANN M. MURPHY Notary Public, State of New York No. 01MU6067553 Qualified in Nassau County Commission Expires December 10, 2025

CERTIFICATION

STATE OF NEW YORK COUNTY OF NEW YORK SS.

The undersigned Dina Daskalakis hereby certifies:

That the original resolution, of which the following is a true and correct copy, was duly adopted by unanimous written consent of the Board of Directors of Hudson Insurance Company dated July 27th, 2007, and has not since been revoked, amended or modified:

"RESOLVED, that the President, the Executive Vice Presidents, the Senior Vice Presidents and the Vice Presidents shall have the authority and discretion, to appoint such agent or agents, or attorney or attorneys-in-fact, for the purpose of carrying on this Company's surety business, and to empower such agent or agents, or attorney or attorneys-in-fact, to execute and deliver, under this Company's seal or otherwise, bonds obligations, and recognizances, whether made by this Company as surety thereon or otherwise, indemnity contracts, contracts and certificates, and any and all other contracts and undertakings made in the course of this Company's surety business, and renewals, extensions, agreements, waivers, consents or stipulations regarding undertakings so made; and

FURTHER RESOVLED, that the signature of any such Officer of the Company and the Company's seal may be affixed by facsimile to any power of attorney or certification given for the execution of any bond, undertaking, recognizance, contract of indemnity or other written obligation in the nature thereof or related thereto, such signature and seal when so used whether heretofore or hereafter, being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed."

THAT the above and foregoing is a full, true and correct copy of Power of Attorney issued by said Company, and of the whole of the original and that the said Power of Attorney is still in full force and effect and has not been revoked, and furthermore that the Resolution of the Board of Directors, set forth in the said Power of Attorney is now in force.

Witness the hand of the undersigned and the seal of said Corporation this 20th day of February, 20 24



seal)

By: Dina Daskalakis Corporate Secretary



LEGEND ENERGY ADVISORS, LLC.  
2200 POST OAK BLVD STE 1000  
HOUSTON TX 77056-4716

### COVID-19 Tax Relief

If you are experiencing a hardship due to COVID-19, please contact OTR's Collection Division at (202) 724-5045 or, via email, at [OTRCovidRelief@dc.gov](mailto:OTRCovidRelief@dc.gov). We would also like to remind taxpayers that monthly payment plans may be available to those who are unable to pay their liabilities in full at this time. Please visit [MyTax.DC.gov](http://MyTax.DC.gov) for further information.

*Below are instructions for individuals with limited English Proficiency who need assistance. All others please turn to the next page for important information from the Office of Tax and Revenue.*



Language Assistance

Si necesita ayuda en Español, por favor llame al **(202) 727-4829** para proporcionarle un intérprete **de manera gratuita**.

Nếu quý vị cần giúp đỡ về tiếng Việt, xin gọi **(202) 727-4829** để chúng tôi thu xếp có thông dịch viên đến giúp quý vị **miễn phí**.

Si vous avez besoin d'aide en Français appelez-le **(202) 727-4829** et l'assistance d'un interprète vous sera **fournie gratuitement**.

በአማርኛ አርዳታ ከፈለጉ በ **(202) 727-4829** ይደውሉ። የነፃ አስተርጓሚ ይመደብልዎታል።

한국어로 언어 지원이 필요하신 경우 **(202) 727-4829** 로 연락을 주시면 **무료로** 통역이 제공됩니다.

如果您需要用(中文)接受幫助，請電洽 **(202) 727-4829** 將**免費**向您提供口譯員服務。

Office of the Chief Financial Officer  
Office of Tax & Revenue  
Customer Service Administration  
1101 4<sup>th</sup> Street, SW Suite W270  
Washington, DC 20024





Date of Notice: October 6, 2023

Notice Number: L0010334606

LEGEND ENERGY ADVISORS, LLC.  
2200 POST OAK BLVD STE 1000  
HOUSTON TX 77056-4716

FEIN: \*\*-\*\*\*5492  
Customer ID: 00015-73245



**NOTICE OF BUSINESS TAX REGISTRATION**

You have been registered for the tax(es) shown below. Your filing basis has been determined as shown. It is important that the Employer Identification Number (FEIN) or Social Security Number (SSN) referenced above be used on all correspondence and returns.

**If you registered for an Employer Withholding account, please include the associated Account ID Number listed below on all returns and payments.**

<u>Tax Type</u>	<u>Account ID</u>	<u>Filing/Payment Frequency</u>	<u>Tax Year End</u>
Corporation	250-001757325	Annual	December

For tax forms or to register to file or pay electronically, please visit our website at [MyTax.DC.gov](http://MyTax.DC.gov)

If applicable you will also be registered for an Employer Use Tax (Form FR800A Sales & Use tax return). The Employer Use Tax Return Act of 2012 requires a use tax to be imposed on any employer required to file a DC withholding tax return, which is not otherwise required to collect and remit sales tax.

If applicable you will also be registered for Unemployment Compensation Taxes and will be contacted by the DC Department of Employment Services Office of Unemployment Compensation regarding your filing requirements. Any questions concerning your liability for Unemployment Compensation may be answered by calling (202) 698-7550.



A Declaration of Estimated Franchise Tax (Form D-20 ES or D-30 ES) must be filed by every corporation and unincorporated business whose franchise tax may reasonably be expected to exceed \$1,000 for the taxable year.

For additional information or questions, call the Office of Tax and Revenue's (OTR) Customer Service Administration at (202) 727-4TAX (4829), or visit OTR's Walk-In Center:

Office of Tax and Revenue  
Customer Service Administration  
1101 4th St SW, Ste W270  
Washington, DC 20024

**GOVERNMENT OF THE DISTRICT OF COLUMBIA**  
DEPARTMENT OF LICENSING AND CONSUMER PROTECTION  
CORPORATIONS DIVISION



**C E R T I F I C A T E**

**THIS IS TO CERTIFY** that all applicable provisions of the District of Columbia Business Organizations Code have been complied with and accordingly, this ***CERTIFICATE OF REGISTRATION*** is hereby issued to:

Legend Energy Advisors LLC

**Effective Date:** 8/1/2023

**IN WITNESS WHEREOF I** have hereunto set my hand and caused the seal of this office to be affixed as of 8/1/2023 1:34 AM

Business and Professional Licensing Administration



*Rebecca Janovich*

REBECCA JANOVICH  
Superintendent of Corporations,  
Corporations Division

Muriel Bowser  
Mayor

Tracking #: sKG5GLln



**District of Columbia Government**  
**Corporations Division**

**Foreign Registration Form Statement FN-1, Ver. 3, February 2020.**

**Under the provisions of the Title 29 of D.C. Code (Business Organizations Act), the foreign filing entity listed below hereby applies for a Certificate of Registration to transact business in the District of Columbia, and for that purpose submits the statement below.**

**First:** Entity Name:  
Legend Energy Advisors LLC

**Second:** Entity Type: Foreign Limited Liability Company

**Third:** Entity's Alternate Name (if true legal name is not available):  
Legend Energy Advisors LLC

**Fourth:** Organized under the laws of which state or country:  
Wyoming, United States

**Fifth:** Date of Organization: 4/23/2014 12:00 AM

**Sixth:** Date entity started or will start transacting business in the District of Columbia: 8/1/2023

**Seventh:** Principal Address:  
2200 Post Oak Blvd Suite 1000  
Houston, Texas 77056

**Eighth:** Registered Agent's name and address of registered office in District of Columbia:  
C T CORPORATION SYSTEM  
1015 15th St NW  
Suite 1000  
Washington, District of Columbia 20005  
Email: CLS-CTWashingtonDCFulfillment@wolterskluwer.com

**Ninth:** Briefly describe the proposed activity company will transact in the District of Columbia:  
Other  
Assist commercial and industrial consumers with the purchase of power and natural gas.

**Tenth:** State the names and addresses of all beneficial owners (member, manager, officer, director, shareholder, partner, trustee, etc.) that have interest or control over this entity (review instruction sheet for more information).

Name	Address
Daniel Crosby	2200 Post Oak Blvd Suite 1000, Houston, Texas 77056

**Eleventh:** Attach an original Certificate of Good Standing (Certificate of Existence) from Registration Authority in the State/Province/Country of Incorporation that is not over 90 days old.

**If you sign this form you agree that anyone who makes a false statement can be punished by criminal penalties of a fine up to \$1000, imprisonment up to 180 days, or both, under DCOC § 22-2405;**

**Twelfth:** Name of the Governor or Authorized Person:

**Amount Paid:** \$220.00

**Date:** 8/1/2023 1:34 AM

**E-Signed**

**STATE OF WYOMING**  
**Office of the Secretary of State**

I, CHUCK GRAY, Secretary of State of the State of Wyoming, do hereby certify that according to the records of this office,

**Legend Energy Advisors LLC**  
is a  
**Limited Liability Company**

formed or qualified under the laws of Wyoming did on **April 23, 2014**, comply with all applicable requirements of this office. Its period of duration is Perpetual. This entity has been assigned entity identification number **2014-000663449**.

This entity is in existence and in good standing in this office and has filed all annual reports and paid all annual license taxes to date, or is not yet required to file such annual reports; and has not filed Articles of Dissolution.

I have affixed hereto the Great Seal of the State of Wyoming and duly generated, executed, authenticated, issued, delivered and communicated this official certificate at Cheyenne, Wyoming on this 13th day of July, 2023 at 2:32 PM. This certificate is assigned ID Number 062902422.



A handwritten signature in cursive script that reads "Chuck Gray".

Secretary of State

Notice: A certificate issued electronically from the Wyoming Secretary of State's web site is immediately valid and effective. The validity of a certificate may be established by viewing the Certificate Confirmation screen of the Secretary of State's website <https://wyobiz.wyo.gov> and following the instructions displayed under Validate Certificate.

**STATE OF WYOMING**  
**Office of the Secretary of State**

I, CHUCK GRAY, Secretary of State of the State of Wyoming, do hereby certify that according to the records of this office,

**Legend Energy Advisors LLC**  
is a  
**Limited Liability Company**

formed or qualified under the laws of Wyoming did on **April 23, 2014**, comply with all applicable requirements of this office. Its period of duration is Perpetual. This entity has been assigned entity identification number **2014-000663449**.

This entity is in existence and in good standing in this office and has filed all annual reports and paid all annual license taxes to date, or is not yet required to file such annual reports; and has not filed Articles of Dissolution.

I have affixed hereto the Great Seal of the State of Wyoming and duly generated, executed, authenticated, issued, delivered and communicated this official certificate at Cheyenne, Wyoming on this 19th day of October, 2023 at 8:48 AM. This certificate is assigned ID Number 066189735.

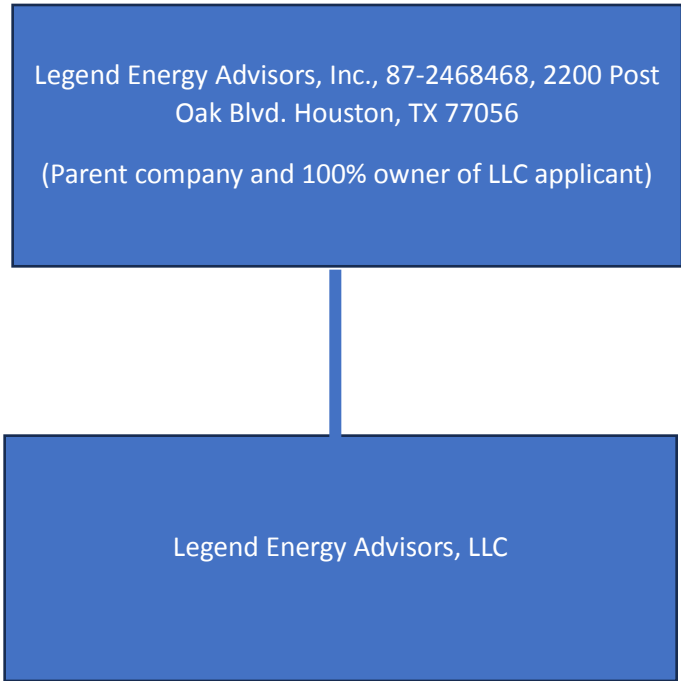


A handwritten signature in cursive script that reads "Chuck Gray".

Secretary of State

Notice: A certificate issued electronically from the Wyoming Secretary of State's web site is immediately valid and effective. The validity of a certificate may be established by viewing the Certificate Confirmation screen of the Secretary of State's website <https://wyobiz.wyo.gov> and following the instructions displayed under Validate Certificate.

Corporate Structure



**Business Licenses Detail Report**

Total Number of Licenses: 31

Entity	Jurisdiction	License	Number	Department	Fee	Frequency	Submit By	Renewal	Filed / Approved	Status	Client Notes
Legend Energy Advisors, LLC (DE)	State of Delaware	Electric Supplier License	22-0656	Delaware Public Service Commission						Complete	
Legend Energy Advisors, LLC (IL)	State of Illinois	Electric Energy Broker	Docket # 19-0775	Commerce Commission		Every Year	03/01/2024	03/31/2024	2/22/2023 4/17/2023	Complete	
Legend Energy Advisors, LLC (MA)	State of Massachusetts	Electric Energy Broker	EB-405	Department of Public Utilities	\$100.00	Every Year	07/01/2023	07/01/2023	6/29/2022 8/16/2022	Renewal Pending	
Legend Energy Advisors, LLC (MD)	State of Maryland	Electric Energy Broker	IR-3523	Public Service Commission		Every Year	04/30/2024	04/30/2024	5/31/2023 5/31/2023	Complete	
Legend Energy Advisors, LLC (ME)	State of Maine	Natural Gas Energy Broker	License numbers are not issued	Public Utilities Commission						Complete	
Legend Energy Advisors, LLC (ME)	State of Maine	Electric Energy Broker	2015-00361	Public Utilities Commission		Every Year	06/30/2024	07/01/2024	6/28/2023 6/28/2023	Complete	
Legend Energy Advisors, LLC (NJ)	State of New Jersey	Energy Agent	EA-0582	Board of Public Utilities	\$201.50	Every Year	02/01/2024	03/03/2024	2/14/2023 3/24/2023	Complete	
Legend Energy Advisors, LLC (OH)	State of Ohio	Electric Energy Broker License	14-846E	Public Utilities Commission		Every 2 Years	10/04/2024	11/26/2024	10/26/2022 11/28/2022	Complete	
Legend Energy Advisors, LLC (OH)	State of Ohio	Natural Gas Broker	18-633G	Public Utilities Commission		Every 2 Years	07/07/2025	08/07/2025	6/30/2023 8/10/2023	Complete	
Legend Energy Advisors, LLC (PA)	State of Pennsylvania	Electric Energy Broker	A-2021-3026759	Public Utility Commission		Every Year	04/30/2024	04/30/2024	5/1/2023 7/28/2023	Complete	
Legend Energy Advisors, LLC (PA)	State of Pennsylvania	Natural Gas Energy Broker	A-2015-2516042	Public Utility Commission		Every Year	04/30/2024	04/30/2024	5/1/2023 7/28/2023	Complete	
Legend Energy Advisors, LLC (TX)	State of Texas	Electric Energy Broker	BR190701	Public Utility Commission		Every 3 Years	04/01/2025	07/01/2025	6/29/2022 7/1/2022	Complete	