

VIA ELECTRONIC FILING

April 15, 2020

Brinda Westbrook-Sedgwick Office of the Commission Secretary Public Service Commission of the District of Columbia 1325 G Street NW Suite 800 Washington, D.C. 20005

RE: Dockets EA 2011-7, GA 2013-05: EMEX, LLC Annual Update

Dear Secretary Westbrook-Sedgwick:

By this letter, EMEX, LLC ("EMEX"), an electricity and natural gas broker/aggregator hereby submits its Annual Update to the Commission, due by April 30, 2020. The attached information is a summary of that information that has changed since EMEX's renewal application and/or the previous annual update. Please feel free to contact me if you have any questions regarding this filing at <u>mkinney@mantisinnovation.com</u> or (781) 404-5928.

Sincerely,

Matthew T. Kinney Asst. General Counsel/V.P. of Regulatory Affairs

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2. a. Contact Person-Regulatory Contact

Matthew T. Kinney, Asst. General Counsel/V.P. of Regulatory Affairs 209 Burlington Road Suite 219 Bedford, MA 01730 (781) 404-5928 (p) (781) 607-7244 (f) mkinney@mantisinnovation.com

5. Affiliates

Patriot Energy Group, Inc. ("Patriot") is a broker/aggregator of electricity and natural gas. Patriot is indirectly under common ownership (via Mantis Innovation Group, LLC) with EMEX.

Address: 209 Burlington Road, Suite 219 Bedford, MA 01730

Patriot is licensed/registered to be a broker/aggregator of electricity and/or natural gas in the following jurisdictions:

Delaware: Electricity, Order No. 7911 District of Columbia: Electricity, Order No. 16773; Natural Gas, Order No. 16955 Illinois: Electricity, Docket No. 10-0665 Maine: Electricity, Docket No. 2007-515 Maryland: Electricity, IR-2187; Natural Gas, IR-3057 Massachusetts: Electricity, EB-031; Natural Gas, RA-027 New Hampshire: Electricity, DM 15-515; Natural Gas, DM 16-759 New Jersey: Energy Agent, EA-0078; Private Aggregator, PA-0072 Ohio: Electricity, 09-0741E; Natural Gas, 10-2840G Ontario: Electricity, ER-2019-0160 Oregon: Electricity, EA-15 Pennsylvania: Electricity, A-2009-2128946; Natural Gas, A-2009-2130754 Texas: Electricity Aggregator No. 80265; Electricity Broker No. BR190337

6. Actions Against Licensee

<u>Pennsylvania:</u> On October 21, 2010, the Pennsylvania Public Utility Commission granted Applicant a License for Electric Generation Supplier as a broker/marketer in the matter of the application of A-2010-2179537. The Pennsylvania Public Utility Commission issued an order cancelling EMEX's electric generation supplier license # A-2010-2179537 on July 16, 2019, for failure to provide proof of financial security (the Pennsylvania Public Utility Commission requires all licensed electric generation suppliers to furnish the original bond demonstrating financial security). However, EMEX immediately filed a

Petition for Reconsideration of this cancellation based on the fact that the Pennsylvania Public Utility Commission's request for the bond was sent to the wrong regulatory contact so EMEX had no notice of the request or the pending cancellation. Furthermore, the required security bond has been in place continuously with no interruption. On August 8, 2019, the Pennsylvania Public Utility Commission granted EMEX's Petition for Reconsideration, pending review of the merits of the Petition. This matter was favorably resolved with EMEX being issued electric generation license # A-2019-3014305 on December 19, 2019