

May 22, 2020

Commission Secretary
Public Service Commission of the District of Columbia
1325 G Street, N.W., Suite 800
Washington, DC 2005

**RE: Breakerbox, LLC
Application for License as a Natural Gas Aggregator/Broker**

Dear Secretary Westbrook-Sedgwick:

Breakerbox, LLC hereby submits an application to supply natural gas services as an Aggregator/Broker. Breakerbox, LLC submitted the application fee via wire transfer on May 20.

If you have any questions, please do not hesitate to contact me. I can be reached at 312-681-1855 and by email at Amy.Klaviter@exeloncorp.com. Thank you for your assistance in this matter.

Kind regards,



Amy Klaviter
Senior Analyst, Legal Compliance

Enclosures

BEFORE THE DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION

Application Docket No. _____

Application of Breakerbox, LLC, d/b/a (“doing business as”)

_____ for approval to offer, render, furnish, or supply natural gas services as a(n) Aggregator/Broker, [specified in item 10 below] to the public in the District of Columbia

To the District of Columbia Public Service Commission:

BUSINESS INFORMATION

1. IDENTITY OF THE APPLICANT:

a. Legal Name Breakerbox, LLC

Current Mailing Address: P.O. Box 810
Newburyport, MA 01950

Street Address (if different): _____

Telephone Number: 833-275-7269

Website URL: www.breakerbox.com

Other States, including District of Columbia, in which the Applicant is now or has been engaged in the retail sale of natural gas and the names under which the Applicant is engaged or has been engaged in such business(es) Applicant may limit response to the last three (3) years:

Name: Breakerbox.LLC

Business Address: P.O. Box 810

Newburyport, MA 01950

License # State of Issuance: Ohio (20-752G), Maine

Other states in which the Applicant has applied to provide retail natural gas service but has been rejected. Applicant may limit response to the last three (3) years:

State(s): Not Applicable

Date of Application: _____

Attach additional sheets to the application if necessary.

b. Trade name (If Applicant will not be using a trade name, skip to question no. 2.a.):

Trade Name: _____

c. The District of Columbia and other states, in which the Applicant has provided retail natural gas service under the current Applicant name or in a different name but has voluntarily or involuntarily surrendered its license. Describe reasons for license surrender. With regard to a voluntary or involuntary license surrender in the District of Columbia only, state whether any previously outstanding assessments and/or penalties imposed by the Commission and the Office of the People’s Counsel have been paid. If any previous assessments and/or penalties are unpaid, provide a date certain when those assessments and/or penalties will be paid. Applicant may limit response to the last five (5) years:

State(s): Not Applicable

Date of License Surrender and Reasons for License Surrender:

In the District of Columbia, Amount of Paid Assessments and Unpaid Assessments/Penalties Following License Surrender and to Whom Owed (If Applicable)

Attach additional sheets to the application if necessary.

2.a. CONTACT PERSON-REGULATORY CONTACT:

Name and Title: Craig Wilson - President

Address: P.O. Box 810
Newburyport, MA 01950

Telephone: () 978-239-5240

Fax: () _____

E-mail: craig@breakerbox.com

b. CONTACT PERSON-CUSTOMER SERVICE and CONSUMER COMPLAINTS (not required for Aggregators who do not take title and/or Brokers):

Name and Title: Not required as Breakerbox does not take title

Address: _____

Telephone: () _____

Fax: () _____

E-mail: _____

3. RESIDENT AGENT:

Name and Title: Corporate Creations Network, Inc.

Address: 1629 K Street, NW, #300, Washington, DC 20006

Telephone: () 202-558-5443

Fax: () _____

E-mail: _____

4. PRIMARY COMPANY OFFICIALS

President/General Partners:

Name(s) Craig Wilson

Business Address: P.O. Box 810

Newburyport, MA 01950

CEO/Managing Partner:

Name(s) Craig Wilson

Business Address: P.O. Box 810

Newburyport, MA 01950

Secretary Name: Katherine Smith

Business Address: 10 S. Dearborn Street

Chicago, IL 60603

Treasurer Name: Elisabeth Graham

Business Address: 10 S. Dearborn St.

Chicago, IL 60603

a. **APPLICANT'S BUSINESS FORM: (select and complete appropriate statement)**

- Proprietorship
- Corporation
- Partnership
- Limited Partnership
- Limited Liability Company
- Limited Liability Partnership
- Other _____

b. **STATE OF FORMATION: Applicant's business is formed under the laws of the State of** Pennsylvania

- c. **STATUS: Provide a certificate issued by the state of formation certifying that the Applicant is in good standing and qualified to do business in the state of formation. See Attachment 1 and Attachment 2**

If formed under the laws of other than the District of Columbia, provide a certificate issued by the District of Columbia Department of Consumer and Regulatory Affairs (DCRA) certifying that the applicant is registered or qualified, to do business in the District of Columbia and is currently in good standing with DCRA and with the District Department of Finance and Revenue.

- d. **OWNERSHIP:** Provide on a separate sheet the names and addresses of all persons and entities that directly or indirectly own ten percent (10%) or more of the ownership interests in the Applicant, or have the right to vote ten percent (10%) or more in the Applicant's voting securities, or who otherwise have the power to control ten percent (10%) or more of the Applicant. **See Attachment 3**

5. AFFILIATES, OR PREDECESSOR(S), ENGAGED IN THE SALE OR TRANSPORTATION/DELIVERY OF NATURAL GAS AT WHOLESALE OR RETAIL TO THE PUBLIC: (select and complete appropriate statement) (Applicant may limit responses to the last five (5) years)

- a. The Applicant has no such Affiliate(s) or Predecessors(s). Not applicable

- b. Applicant is an Affiliate of a regulated utility. Please provide the regulated utility's Name and the jurisdictions in which it operates: _____

see Attachment 4

- c. Affiliate(s), or Predecessor(s), other than a regulated utility that provides, or provided, sale or transportation/delivery of natural gas at wholesale or retail to the public:

Name: see Attachment 4

Business Address: _____

License #, State of Issuance: _____

Location of Operations (Utility Service Territory): _____

Attach additional sheets to the application if necessary.

6. ACTIONS AGAINST LICENSEES: Provide the following information for the Applicant, any Predecessor(s), and any unregulated Affiliate that engages in or engaged in the sale or transportation/delivery of natural gas at wholesale or retail to the public. (Applicant may limit responses to the last five (5) years).

- Identify all actions against the Licensee, Predecessor or any regulated or unregulated affiliate(s) such as Suspensions/Revocations/Limitations/ Reprimands/Fines and describe the action in an attached statement, including docket numbers, offense dates, and case numbers, if applicable. Formal Investigations (defined as those investigations formally instituted in a public forum by way of the filing of a complaint, show cause order, or similar pleading) instituted by any regulatory agency or law enforcement agency relating to the Applicant, Predecessor(s), or unregulated affiliate(s) if, as a result of the investigation, Applicant's/Predecessor's/or affiliate's license to provide service to the public was in jeopardy are also listed. The license number, state of issuance, and name of license are identified below:

State(s): _____

Name(s): _____

License Number(s) (or other applicable identification):

- No such action has been taken.

7. RELIABILITY AND ENVIRONMENTAL OFFICIAL ACTIONS AGAINST APPLICANTS/AFFILIATES: Provide the following information for Official Actions that have been taken against the Applicant, any Predecessor(s), and any unregulated Affiliate (if available to the Applicant) that engages in the retail or wholesale sale of natural gas for matters relating to environmental or reliability status for the past five years.

- Official Actions such as Suspensions/Revocations/Limitations/ Reprimands/Fines/Regulatory Investigations (state agencies, FERC, EPA, or other federal agencies) have been taken against the Applicant, any Predecessor(s) or unregulated affiliate(s), and are described in the attached statement, including docket numbers, offense dates, and case numbers, if applicable.

State(s): _____

Name(s): _____

- No such action has been taken.

OPERATIONAL CAPABILITY

TECHNICAL FITNESS

8. Provide sufficient information to demonstrate technical fitness to provide the service proposed in this Application. Examples of such information which may be submitted include the following: **See Attachment 5**

- A general description of Applicant’s retail natural gas supply activities in the District of Columbia, if any, including other service territories in which Applicant has provided service and the time period.
- A copy of each agreement (if applicable) entered into with District of Columbia natural gas distribution companies.
- Biographies, including titles, of relevant experienced personnel in key technical positions.
- Other.

9. **SOURCE OF SUPPLY:** (Check all that apply) This is for informational purposes only. No update required.

- Not applicable. Applicant will not be supplying retail natural gas.
- Applicant owns natural gas supply.
- Applicant contracts for natural gas.
- Applicant obtains natural gas on the spot market
- Other. Applicant must attach s statement detailing its source of natural gas supply.
- Aggregator or Broker only

SCOPE OF OPERATIONS

(Check all that apply)

10. **APPLICANT’S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Natural Gas Supplier/Marketer of natural gas.

Aggregator acting on behalf of Customers to purchase natural gas and does not take title to natural gas.

Broker acting as an agent or intermediary on behalf of Customers in the sale and purchase of natural gas and who does not take title to natural gas.

Which natural gas supply related service(s) does the Applicant offer?

Billing

Other (Please specify the nature of such other services in an attached statement.)

Does Applicant intend to offer competitive billing services? No

Is the Applicant proposing to offer any other services? _____

If so, please provide information regarding the proposed service in an attached statement.

- 11. AREA OF OPERATION:** If the Applicant does not intend to offer services throughout the Washington Gas Light Company territory in the District of Columbia, Applicant must, in an attached statement, describe in detail the area within the Natural Gas Company's service territory in which Applicant's services will be offered.

Applicant intends to offer service throughout the Washington Gas Light Company territory in the District of Columbia.

Applicant intends to offer services in only a portion of Washington Gas Light Company's service territory in the District of Columbia. Please see attached statement.

- 12. CUSTOMERS:** Applicant proposes to initially provide services to (check all that apply):

Residential Customers

Commercial Customers

Industrial Customers

Other (Describe in attachment)

Also, Applicant proposes:

Restrictions upon the number of end use Customers (Describe in attachment)

- No restrictions on the number of end use Customers.
- Restrictions upon the size of end use Customers (Describe in attachment).
- No restrictions regarding the size of the end use Customers (Describe in attachment).
- Other restrictions regarding Customers (Describe in attachment).

13. **START DATE:** The Applicant proposes to begin delivering services:

- Upon approval of the Application and receipt of License.
- Other approximate date of commencement.

FINANCIAL INTEGRITY

14. **REQUIRED DOCUMENTATION OF FINANCIAL INTEGRITY:**

Check that the documents listed below are attached to the Application.

See Attachment 6

The Applicant shall provide the most recent versions of the following documents to the extent they are available:

- Credit reports or ratings prepared by established credit bureaus or agencies regarding the Applicant's payment and credit history.
- Balance sheets, income statements and statements of cash flow for the two (2) most recent 12-month periods for which information is available. Audited financial statements must be provided if they exist. In addition, the Applicant shall provide any financial statements subsequent to the most recent annual financial statements.
- In the event that a parent or other company, person or entity has undertaken to guarantee the financial integrity of the Applicant, the Applicant must submit such entity's balance sheet, income statement and statement of cash flow, together with documentation of such guarantee to insure the financial integrity of the Applicant. Audited financial statements must be provided if they exist. In addition, the Applicant shall provide any available quarterly financial statements subsequent to the most recent annual financial statements.
- If the Applicant, parent, or guarantor entity has not been in existence for at least two (2) twelve (12)-month periods, it must provide balance sheets, income

statements and statements of cash flow for the life of the business. Audited financial statements must be provided if they exist.

- Organizational structure of Applicant. Include Applicant’s parent, affiliate(s), and subsidiary(ies) if any.
- Evidence of general liability insurance.
- If the Applicant has engaged in the retail supply of natural gas services in any other jurisdiction, evidence that the Applicant is a licensed supplier in good standing in those jurisdictions.
- A current long-term bond rating, or other senior debt rating.
- Any other evidence of financial integrity such as an unused line of bank credit or parent guarantees.

15. BONDING REQUIREMENTS

Integrity Bond

An Applicant who cannot provide credible evidence that it meets the financial integrity standards listed in Section 4705 of Chapter 47 of Title 15 DCMR must submit a bond on the form attached to this Application (“Integrity Bond”). The Applicant, if licensed by the Commission as a natural gas supplier, may be required to update/revise this initial Integrity Bond, by revising the initial Integrity Bond or posting an additional Integrity Bond, as set forth in Section 4705.

However, an Applicant who can provide credible evidence that it meets the financial integrity standards listed in Section 4705 will not be required to submit an Integrity Bond. (The Applicant may still be required to submit a separate Customer Payments Bond, as discussed below.)

Customer Payments Bond

A separate bond on the appropriate form attached to this Application is mandatory if an Applicant requires prepayments and/or deposits from residential or small commercial Customers (“Customer Payments Bond”). Please check one of the boxes below to state whether you, the Applicant, intend to charge, collect, or hold prepayments and/or deposits, as such terms are defined in the Bonding Requirements Addendum attached to this Application:

- Applicant will not accept prepayments or deposits from residential and small commercial Customers.

- Applicant intends to accept prepayments or deposits and/or deposits from residential and small commercial Customers. Applicant must comply with Bonding Requirements Addendum governing the Customer Payment Bond.

Further details regarding the District of Columbia's bonding requirements are included in Sections 4704 and 4705 of Chapter 47 of Title 15 DCMR.

16. NOTICE OF REQUIRED COMPLIANCE: The Applicant is hereby notified that it is required to comply with the following:

- (a) The Applicant may be required to submit bond(s), as applicable as described in Section 15 herein.
- (b) The Applicant must update this application with the Commission immediately if any of the information provided in this Application changes or an error or inaccuracy is noted during the pendency of the Application. After an Application has been approved, a Licensee must inform the Commission of changes to all parts of the application and the averment regarding any civil, criminal, or regulatory penalties, etc. imposed on applicant, *et al.* within thirty days of the change or an error or inaccuracy is noted. A Licensee must inform the Commission of changes to the averment regarding bankruptcy proceedings instituted voluntarily or involuntarily within one business day of the institution of such proceedings.
- (c) Supplement this application in the event the Commission modifies the licensing requirements, or request further information.
- (d) Agree that it will not present itself as a licensed retail supplier of natural gas in the District of Columbia, sell or market services, accept deposits, prepayments, or contract with any end-use Customers without a license from the Commission.
- (e) Pay all fees imposed by the Commission and any applicable taxes.
- (f) Ensure that a copy of each service agreement entered into with the Washington Gas Light Company is provided to the Commission.
- (g) Attend a Natural Gas Suppliers Education Workshop sponsored by the Commission.
- (h) If certified, the Applicant shall institute a Privacy Protection Policy to protect against the unauthorized disclosure or use of information about a Customer or a Customer's use of service. A copy of that Policy shall be

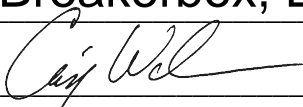
made available once a year, including any updates or changes, through electronic means or a hardcopy to the Customer and posted in a prominent place on each company's website.

- (i) Abide by 15 DCMR § 308 and not disclose information about a Customer or the Customer's use of natural gas or natural gas services without the Customer's written consent.
- (j) Agrees to comply with 15 DCMR § 4702.11 Natural Gas Company and Licensee Responsibilities in the event of a default after certification, and with the District of Columbia Natural Gas Supplier Coordination Tariff.

17. AFFIDAVITS REQUIRED. The Applicant must supply Affidavits of Tax Compliance and General Compliance to the Commission with the completed Application. The affidavits are included with this Application packet and must be executed by the Applicant or representative with authority to bind the Applicant in compliance with District of Columbia laws.

18. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

19. FEE: The Applicant has enclosed the required fee of \$400.00.

Applicant: Breakerbox, LLC
By: 
Printed Name: Craig Wilson
Title: President

AFFIDAVIT OF TAX COMPLIANCE

State of Massachusetts :
County of Essex : ss
:

Craig Wilson, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:


That he/she is the President (office of Affiant) of Breakerbox, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant:

That Breakerbox, LLC, the Applicant herein, certifies to the Public Service Commission of the District of Columbia (“Commission”) that it is subject to, will pay, and in the past has paid, the full amount of District of Columbia and Federal taxes imposed by applicable statutes and ordinances, as may be amended from time to time. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of the District of Columbia, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall provide to the Commission its jurisdictional Gross Receipts and revenues from retail sales in the District, for the previous year or as otherwise required by the Commission.

As provided by applicable Law, Applicant, by filing of this application waives confidentiality with respect to its tax information in the possession of the (appropriate taxing authority), regardless of the source of the information, and shall consent to the (appropriate taxing authority) providing that information to the Commission. The Commission shall retain such information confidentially. This does not constitute a waiver of the confidentiality of such information with respect to any party other than the Commission.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant 

Sworn and subscribed before me this ____ day of _____.

Signature of official administering oath

My commission expires Notary requirements have been waived due to COVID-19.

AFFIDAVIT OF GENERAL COMPLIANCE

State of Massachusetts :
County of Essex : ss

Craig Wilson, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He/she is the President (Officer/Affiant) of Breakerbox, LLC (Name of Applicant).

That he/she is authorized to and does make this affidavit for said Applicant.

That the Applicant herein certifies to the Public Service Commission of the District of Columbia (“Commission”) that:

The Applicant agrees to comply with the terms and conditions of Washington Gas Light Company’s tariff and agreements.

The Applicant is in compliance with and agrees to comply with all applicable Federal and District of Columbia consumer protection and environmental laws and regulations, and Commissions regulations, fees, assessments, order and requirements.

If certified, the Applicant shall institute a Privacy Protection Policy to protect against the unauthorized disclosure or use of information about a Customer or a Customer’s use of service. A copy of that Policy shall be made available once a year, including any updates or changes, through electronic means or a hardcopy to the Customer and posted in a prominent place on each company’s website.

The Applicant also agrees to abide by 15 DCMR § 308 and not disclose information about a Customer or a Customer’s use of service without the Customer’s written consent.

Applicant agrees, upon request by the Commission, to provide copies to the Commission, of its consumer forms and/or contracts, its marketing or advertising materials (flyers and solicitation scripts), consumer pamphlets and its consumer education materials.

Applicant agrees to abide by any periodic reporting requirements set by the Commission by regulation, including any required periodic reporting to the (appropriate taxing authority).

The Applicant has obtained all the licenses and permits required to operate the proposed business in the District of Columbia.

The Applicant agrees that it shall neither disclose nor resell individual residential Customer data provided to the Applicant by Washington Gas Light Company. Disclosure or resale of individual non-residential Customer data provided to the Applicant by a District of Columbia natural gas company will be governed by Customer contract.

The Applicant agrees, if the Commission approves its Application, to post an appropriate bond or other form of financial guarantee as required by the Commission and its regulations.

If the Applicant is certified, but later defaults, the licensee/Supplier agrees to comply with 15 DCMR § 4702.11, Natural Gas Company and Licensee Responsibilities in the event of a default, and with the District of Columbia Natural Gas Supplier Coordination Tariff.

The Applicant agrees, pursuant to the requirements of § 4703, to complete the Natural Gas Supplier Education Workshop sponsored by the Commission. Successful completion of the workshop by the Licensee shall be evidenced by a certificate issued by the Commission.

The Applicant, including any of its Predecessor(s) and/or affiliates that engages in or engaged in the sale or transportation/delivery of natural gas at wholesale or retail to the public, the general partners, company officials, corporate officers or directors, or limited liability company managers or officers of the Applicant, its predecessor(s) or its affiliates:

1. Has had no civil, criminal or regulatory sanctions or Penalties imposed against it within the previous five (5) years pursuant to any state or federal consumer protection law or regulations, has not been convicted of any fraud-related crime (including, but not limited to, counterfeiting and forgery, embezzlement and theft, fraud and false statements, perjury, and securities fraud) within the last five (5) years; and has not ever been convicted of a felony; or alternatively.
2. Has disclosed by attachment all such sanctions, penalties or convictions.

The Applicant further certifies that it:

1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier; and

2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or state bankruptcy law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admits in writing its inability to pay its debt generally as they become due to consents to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property.

That Applicant possesses the requisite managerial and financial fitness to provide service at retail in the District of Columbia.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Craig Wilson

Digitally signed by Craig Wilson
DN: cn=Craig Wilson, o=Craig Wilson c=US, United States l=US
United States o=Breakerbox, LLC, e=craig@breakerbox.com
Reason: I am the author of this document
Location:
Date: 2020-05-11 17:25:04-00

Signature of Affiant

Sworn and subscribed before me this _____ day of _____, _____.

Signature of official administering oath

My commission expires _____.

Notary requirements have been waived due to COVID-19

VERIFICATION

State of Massachusetts _____ :
 : SS
County of Essex _____ :

Craig Wilson _____, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He/she is the President _____ (Officer/Affiant) of Breakerbox, LLC _____ (Name of Applicant);

That he/she is authorized to and does make this affidavit for said corporation;

The Applicant understands that the making of a false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to all applicable Sections of the District of Columbia Code as may be amended from time to time relating to perjury and falsification in official matters.

That the Applicant will supplement this Application in the event the Public Service Commission of the District of Columbia (“Commission”) modifies the licensing requirements, or requests further information.

That the Applicant agrees that it will not present itself as a licensed retail supplier of natural gas in the District of Columbia, sell or market natural gas, accept deposits, prepayments, or contract with any end-use Customers without a license from the Commission.

That the Applicant agrees to update information contained in this Application in accordance with the schedule set forth in the Application.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Craig Wilson

Digitally signed by Craig Wilson
DN: cn=Craig Wilson, o=Craig Wilson c=US, United States l=US, United States o=Breakerbox, LLC, e=craig@breakerbox.com
Reason: I am the author of this document
Location:
Date: 2020-05-11 17:26:04-00

Signature of Affiant

Sworn and subscribed before me this _____ day of _____, 20__.

Signature of official administering oath

My commission expires _____.

Notary requirements have been waived due to COVID-19.

**APPLICANT'S GENERAL AUTHORIZATION FOR VERIFICATION OF
FINANCIAL INFORMATION, ETC.**

TO WHOM IT MAY CONCERN:

I/We have applied to the District of Columbia Public Service Commission (the "Commission") for a license to be a Natural Gas Supplier, or to provide certain Natural Gas Supply related services, and authorize you to release to the Staff of the Commission and its authorized representatives and agents any information or copies of records requested concerning:

MY COMPANY OR BUSINESS AND ITS HISTORY,
PERFORMANCE, OPERATIONS, CUSTOMER
RELATIONS, FINANCIAL CONDITION, INCLUDING
BANK ACCOUNT TRANSACTIONS AND BALANCES,
PAYMENT HISTORY WITH SUPPLIERS AND OTHER
CREDITORS, VERIFICATION OF NET WORTH AND
OTHER INFORMATION AND RECORDS WHICH THE
COMMISSION REQUIRES TO VERIFY OR MAKE
INQUIRY CONCERNING MY/OUR FINANCIAL
INTEGRITY AND THE INFORMATION CONTAINED
IN MY/OUR LICENSE APPLICATION OR OTHER
INFORMATION PROVIDED BY ME/US TO THE
COMMISSION OR, STAFF OF THE COMMISSION OR
ITS REPRESENTATIVES OR AGENTS.

This Authorization is continuing in nature and includes release of information following issuance of a license, for reverification, quality assurance, internal review, etc. The information is for the confidential use of the Commission and the Staff of the Commission in determining my/our financial integrity for being a licensee or to confirm information I/We have supplied and may not be released by order of the Commission or by order of a court of competent jurisdiction.

A photographic or fax copy of this authorization may be deemed to be the equivalent of the original and may be used as a duplicate original. The original signed form is maintained by the Staff of the Commission.

APPLICANT'S AUTHORIZATION TO RELEASE INFORMATION:

Breakerbox, LLC

APPLICANT (please print)

Craig Wilson

Digitally signed by Craig Wilson
DN: cn=Craig Wilson, gn=Craig Wilson, c=US, United States, o=Breakerbox, LLC, e=craig@breakerbox.com
Reason: I am the author of this document
Location:
Date: 2020-05-11 17:26-04:00

APPLICANT'S SIGNATURE

05/11/2020

DATE

President

TITLE

Attachment 1 - Good Standing in State of Formation

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

05/04/2020

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Breakerbox, LLC

is duly registered as a Pennsylvania Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Subsistence Certificate shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Katly Bockman

Secretary of the Commonwealth

Certification Number: TSC200504181720-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify>

GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS
CORPORATIONS DIVISION



C E R T I F I C A T E

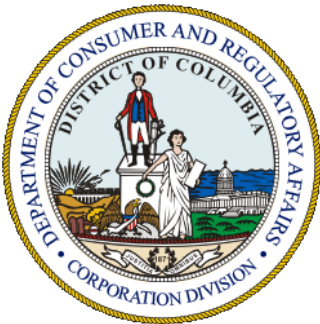
THIS IS TO CERTIFY that all applicable provisions of the District of Columbia Business Organizations Code (Title 29) have been complied with and accordingly, this **CERTIFICATE OF GOOD STANDING** is hereby issued to

Breakerbox, LLC

WE FURTHER CERTIFY that the qualified foreign entity is registered to do business in the District; that all fees, and penalties owed to the District for entity filings collected through the Mayor have been paid and Payment is reflected in the records of the Mayor; The entity's most recent biennial report required by § 29-102.11 has been delivered for filing to the Mayor; and the entity's registration has not been terminated. This office does not have any information about the entity's business practices and financial standing and this certificate shall not be construed as the entity's endorsement.

IN TESTIMONY WHEREOF I have hereunto set my hand and caused the seal of this office to be affixed as of 12/11/2019 10:55 AM

Business and Professional Licensing Administration



PATRICIA E. GRAYS
Superintendent of Corporations
Corporations Division

Muriel Bowser
Mayor

Tracking #: YKri36Dr

Attachment 3: Ownership

Breakerbox, LLC is 100% by Exelon Generation Company, LLC which is 100% owned by Exelon Corporation.

Attachment 4: Affiliates

Breakerbox, LLC is an indirect subsidiary of Exelon Corporation.

Exelon Corporation is the parent company of the following regulated utility companies:

Atlantic City Electric (New Jersey electric utility)
Baltimore Gas & Electric Company (Maryland gas and electric utility)
Commonwealth Edison (Illinois electric utility)
Delmarva Power (Delaware gas and electric utility, Maryland electric utility)
PECO (Pennsylvania gas and electric utility)
Pepco (Maryland and District of Columbia electric utility)

Exelon Corporation is the parent company of the following companies engaged in the sale or transmission of natural gas at wholesale or retail to the public:

Name: Constellation Energy Gas Choice, LLC
Business Address: 1001 Louisiana St, Suite 2300
Houston, TX 77002
License Number/State of Issuance: A-125050/Pennsylvania

Name: Constellation NewEnergy – Gas Division, LLC
Business Address: 9400 Bunsen Parkway
Louisville, KY 40220
License Number/State of Issuance: CTA0032/California, 01-06/Connecticut, GA-06-2/District of Columbia, GM-46/Georgia, 16-0205/Illinois, G-0010/Iowa, No license number/Maine, IR-655/Maryland, GS-030/Massachusetts, U-14867/Michigan, NG-0043/Nebraska, GSL-0101/New Jersey, No license number/New York, 09-153G/Ohio, A-125095/Pennsylvania, 2397(Z1)/Rhode Island, G26 & G51/Virginia

Attachment 5: Technical Experience

General Description

Breakerbox, LLC, an indirect subsidiary of Exelon Corporation, was founded in 2019 to give customers a smarter way of buying energy. Breakerbox is staffed by industry experts with experience from retail suppliers, brokers, and IPPs. Breakerbox will offer customers a transparent experience when choosing their energy supplier regarding fees and supplier contracts. Breakerbox's online platform will allow customers to view supplier pricing, review billing statements, and view energy usage of their accounts. Breakerbox plans to operate as a broker in all deregulated markets to assist customers with choosing the product and supplier that best fits their risk portfolio.

Key Personnel

Craig Wilson: Breakerbox, LLC President and CEO

Profile

Craig Wilson is the President and CEO of Breakerbox, LLC. A new broker in the energy industry that is founded on the goal of bringing more trust and transparency to energy procurement and driving more value to businesses purchasing power and gas. He has 16 years of experience working in competitive energy markets in New England and across the country.

Professional History

Over the course of his career, Craig has worked in several fields, including e-commerce, technology and energy. Prior to founding Breakerbox in the summer of 2019, Craig worked at Constellation for 16 years and was the vice president of sales at Constellation when he left. Before joining Constellation, Craig was the product marketing manager at GE Access, where he was in charge of managing the Company's top accounts within the enterprise solutions data storage division.

Industry Leadership

With entrepreneurial spirit and a passion for energy, Craig offers a unique perspective on the market and is particularly proficient in developing energy procurement strategies. He speaks frequently at industry gatherings, including meetings hosted by DNV GL, The Energy Professional's Association (TEPA) and other utility events.

Education

Craig holds a Bachelor of Science from the University of New Hampshire and a Master of Business Administration from Suffolk University.

Andy Bokalders: Breakerbox, LLC – Director of Operations

Professional History

Andy has worked in the energy industry since 2003 in several different roles with many of the largest energy companies. Andy worked for Nextera Energy Power Marketing's wholesale power business for 9 years in Risk, Mid-Marketing, and Origination roles. Andy worked on the pricing desk for Constellation's retail electricity business and as a sales manager for ENGIE Resources retail electricity company. Prior to joining Breakerbox, Andy was Executive Vice President at Bridge Power Consulting, an energy consulting firm.

Education

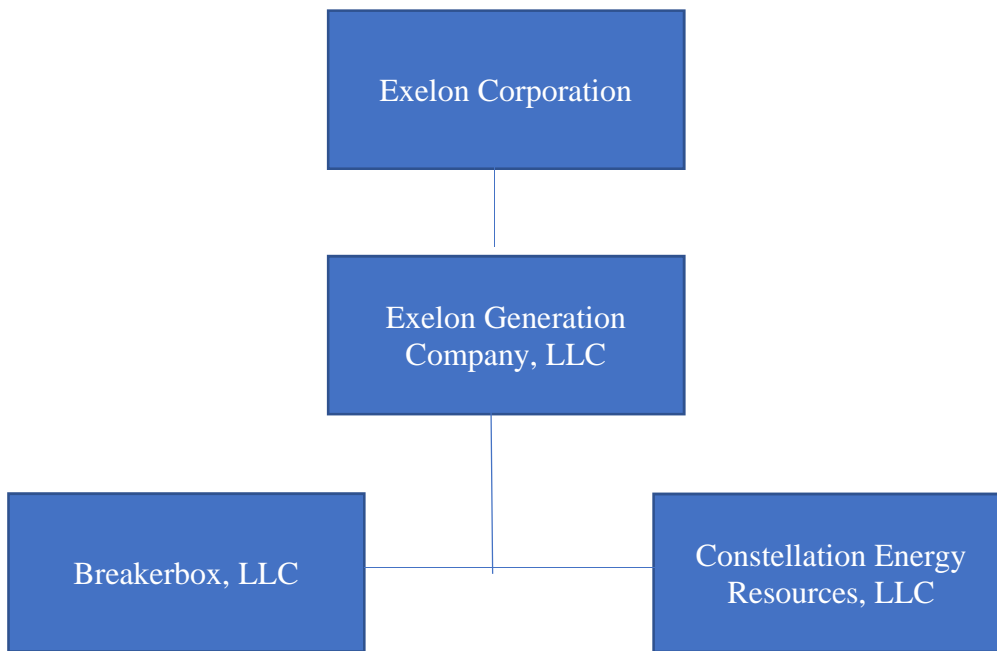
Andy holds a Bachelor of Science degree in Industrial Engineering from University of Illinois-Urbana-Champaign and a Bachelor of Science degree in Physics from Illinois State University.

Attachment 6: Financial Integrity

Breakerbox, LLC does not issue Annual Reports as a stand-alone company or have its own Credit Rating Reports. Annual Reports, which include the Credit Ratings, of Breakerbox, LLC’s ultimate parent, Exelon Corporation, can be viewed at the following weblink: <https://www.exeloncorp.com/investor-relations/reports-and-sec-filings>

Corporate Structure:

Breakerbox, LLC is an indirect subsidiary of Exelon Corporation founded in 2019.



Certificate of Good Standings:

5/20/20

Search Corporate Names



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Department of the Secretary of State
Bureau of Corporations, Elections and Commissions

Corporate Name Search

Information Summary

[Subscriber activity \(0/0\)](#)

This record contains information from the CEC database and is accurate as of: Mon May 04 2020 09:27:31. Please print or save for your records.

Legal Name	Charter Number	Filing Type	Status
BREAKERBOX, LLC	20200177FC	LIMITED LIABILITY COMPANY (FOREIGN)	GOOD STANDING
Filing Date	Expiration Date	Jurisdiction	
08/23/2019	N/A	PENNSYLVANIA	
Other Names	(A-Assumed ; F-Furner)		

NONE

Clerk/Registered Agent

CORPORATE CREATIONS NETWORK INC
477 CONGRESS STREET, 5TH FLOOR
PORTLAND, ME 04101

The screenshot shows a web browser window with the URL <http://business.sos.ohio.gov>. The page title is "BUSINESS DETAILS & FILINGS" and it features a "Close" button in the top right corner. The main content is divided into two sections: "Business Details" and "AGENT/REGISTRANT INFORMATION".

Business Details

Entity ID:	4871004	Business Name:	BREAKERBOX, LLC
Filing Type:	FOREIGN LIMITED LIABILITY COMPANY	Status:	Active
Original Filing Date:	08/23/2019	Exp. Date:	

AGENT/REGISTRANT INFORMATION

CORPORATE CREATIONS NETWORK INC
119 E. COURT STREET
CINCINNATI OH 45202
(513) 241-1111
Active