

**BEFORE THE DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION**

Renewal Application for License No. (Order No.) EA2013-21

Renewal Application of Live Energy Inc., d/b/a (“doing business as”)

for approval to offer, render, furnish, or supply electricity or electric generation services and/or natural gas services as a(n) Broker,  
[specified in item 8 below] to the public in the District of Columbia

To the District of Columbia Public Service Commission:

## **BUSINESS INFORMATION**

**1. IDENTITY OF THE LICENSEE/RENEWAL APPLICANT:**

a. Legal Name **Live Energy Inc.**

Current Mailing Address: 120 Saint Louis Ave. STE133 Fort Worth, Texas 76104

Street Address (if different): N/A

Telephone Number: 214-699-4442

Website URL: Live Energy Inc.

Other States, including District of Columbia, in which the Renewal Applicant is now or has been engaged in the retail sale of electricity and/or natural gas and the names under which the Renewal Applicant is engaged or has been engaged in such business(es). Renewal Applicant may limit response to the last three (3) years:

Name: Attachment (A)

Business Address:

License # State of Issuance:

Other states in which the Renewal Applicant has applied to provide retail electricity and/or

natural gas service but has been rejected. Renewal Applicant may limit response to the last three (3) years:

State(s): N/A

Date of Renewal Application: N/A

Attach additional sheets to the application if necessary.

**b.** Trade name (If applicable): N/A

**c.** The District of Columbia and other states, in which the Renewal Applicant has provided retail electricity and/or natural gas service under the current Renewal Applicant name or in a different name but has voluntarily or involuntarily surrendered its license. Describe reasons for license surrender. With regard to a voluntary or involuntary license surrender in the District of Columbia only, state whether any previously outstanding assessments and/or penalties imposed by the Commission and the Office of the People's Counsel have been paid. If any previous assessments and/or penalties are unpaid, provide a date certain when those assessments and/or penalties will be paid. Renewal Applicant may limit response to the preceding five (5) years:

State(s): N/A

Date of License Surrender and Reasons for License Surrender (If applicable):  
N/A

In the District of Columbia, Amount of Paid Assessments and Unpaid Assessments/Penalties Following License Surrender and to Whom Owed (If Applicable)

N/A  
\_\_\_\_\_  
\_\_\_\_\_

Attach additional sheets to the application if necessary.

**2.a. CONTACT PERSON-REGULATORY CONTACT:**

Name and Title: Brian Roach

Address: Live Energy Inc.120 Saint Louis Ave. STE133 Fort Worth, Texas 76104

Telephone: ( ) 214-699-4443

Fax: ( ) 817-887-5575

E-mail: Brian@liveenergy.com

**b. CONTACT PERSON-CUSTOMER SERVICE and CONSUMER COMPLAINTS (not required for Aggregators who do not take title and/or Brokers):**

Name and Title: N/A

Address: N/A

Telephone: ( ) N/A

Fax: ( ) N/A

E-mail: N/A

**3. RESIDENT AGENT:**

Name and Title: Registered Agents Inc.

Address: 1717 N Street NW, Ste 1Washington, DC 20036

Telephone: ( ) Fax: ( ) 888-681-9777 Fax: 888-679-8222

E-mail: admin@incsmart.biz

**4. PRIMARY COMPANY OFFICIALS**

President/General Partners:

Name(s) St. Clair Newbern IV

Business Address: Live Energy Inc.

120 Saint Louis Ave. STE133 Fort Worth, Texas 76104

CEO/Managing Partner:  
Name(s) St. Clair Newbern IV

Business Address: Live Energy Inc.

120 Saint Louis Ave. STE133 Fort Worth, Texas 76104

Secretary Name: Paul Fershtand

Business Address: Live Energy Inc.

120 Saint Louis Ave. STE133 Fort Worth, Texas 76104

Treasurer Name: N/A

Business Address: \_\_\_\_\_

**a. LICENSEE/RENEWAL APPLICANT'S BUSINESS FORM:**

- |                                     |                               |
|-------------------------------------|-------------------------------|
| <input type="checkbox"/>            | Proprietorship                |
| <input checked="" type="checkbox"/> | Corporation                   |
| <input type="checkbox"/>            | Partnership                   |
| <input type="checkbox"/>            | Limited Partnership           |
| <input type="checkbox"/>            | Limited Liability Company     |
| <input type="checkbox"/>            | Limited Liability Partnership |
| <input type="checkbox"/>            | Other _____                   |

- b. OWNERSHIP:** Provide on a separate sheet the names and addresses of all persons and entities that directly or indirectly own ten percent (10%) or more of the ownership interests in the Renewal Applicant, or have the right to vote ten percent (10%) or more in the Renewal Applicant's voting securities, or who otherwise have the power to control ten percent (10%) or more of the Renewal Applicant.

**5. AFFILIATES, OR PREDECESSOR(S) OF THE RENEWAL APPLICANT, ENGAGED IN THE SALE OR TRANSMISSION OF ELECTRICITY AT WHOLESALE OR RETAIL OR TRANSPORTATION/DELIVERY OF NATURAL GAS AT WHOLESALE OR RETAIL TO THE PUBLIC:** (select and complete appropriate statement) (Renewal Applicant may limit responses to the last five (5) years)

**a.** The Renewal Applicant has no such Affiliate(s) or Predecessors(s). X

**b.** Renewal Applicant is an Affiliate of a regulated utility. Please provide the regulated utility's Name and the jurisdictions in which it operates: N/A

**c.** Affiliate(s), or Predecessor(s), other than a regulated utility that provides, or provided, sale or transmission of electricity at wholesale or retail, or sale or transportation/delivery of natural gas at wholesale or retail to the public:

Name: N/A

Business Address: N/A

License #, State of Issuance: N/A

Location of Operations (Utility Service Territory): N/A

**Attach additional sheets to the application if necessary.**

- 6. ACTIONS AGAINST LICENSEES/RENEWAL APPLICANT:** Provide the following information for the Renewal Applicant, any Predecessor(s), and any unregulated Affiliate that engages in or engaged in the sale or transmission of electricity at wholesale or retail, or the sale or transportation/delivery of natural gas at wholesale or retail to the public. (Renewal Applicant may limit responses to the preceding five (5) years).

- ☐ Identify all actions against the Licensee, Predecessor or any regulated or unregulated affiliate(s) such as Suspensions/Revocations/Limitations/Reprimands/Fines and describe the action in an attached statement, including docket numbers, offense dates, and case numbers, if applicable. Formal Investigations (defined as those investigations formally instituted in a public forum by way of the filing of a complaint, show cause order, or similar pleading) instituted by any regulatory agency or law enforcement agency relating to the Renewal Applicant, Predecessor(s), or unregulated affiliate(s) if, as a result of the investigation, Renewal Applicant's/Predecessor's/or affiliate's license to provide service to the public was in jeopardy are also listed. The license number, state of issuance, and name of license are identified below:

**State(s):** N/A

**Name(s):** N/A No such Action has been taken

**License Number(s) (or other applicable identification):** \_\_\_\_\_

- ☐ No such action has been taken.

- 7. RELIABILITY AND ENVIRONMENTAL OFFICIAL ACTIONS AGAINST APPLICANTS/AFFILIATES:** Provide the following information for official actions that have been taken against the Renewal Applicant, any Predecessor(s), and any unregulated Affiliate (if available to the Renewal Applicant) that engages in the retail or wholesale sale of electricity and/or natural gas for matters relating to environmental or reliability status for the past five years.

- ☐ Official actions such as Suspensions/Revocations/Limitations/Reprimands/Fines/Regulatory Investigations (state agencies, FERC, EPA, or other federal agencies) have been taken against the Renewal Applicant, any Predecessor(s) or unregulated affiliate(s), and are described in the attached statement, including docket numbers, offense dates, and case numbers, if applicable.

State(s): N/A

Name(s): N/A No such action has been taken

☐ No such action has been taken.

**8. RENEWAL APPLICANT'S PROPOSED OR CURRENT OPERATIONS:**

The Renewal Applicant operates or proposes to operate as a:

☐ Generator of Electricity

☐ Marketer of Electricity or Natural Gas Supplier/Marketer of natural gas.

☐ Aggregator acting on behalf of Customers to purchase electricity or natural gas and does not take title to natural gas.

☒ Broker acting as an agent or intermediary on behalf of Customers in the sale and purchase of electricity or natural gas and who does not take title to natural gas.

Which electricity or natural gas supply related service(s) does the Renewal Applicant offer?

☐ Billing

☐ Other (Please specify the nature of such other services in an attached statement.)

Does Renewal Applicant intend to or does offer competitive billing services? \_\_\_\_

Is the Renewal Applicant proposing to offer any other services? \_\_\_\_

If so, please provide information regarding the proposed service in an attached statement.

**9. CUSTOMERS:** Renewal Applicant provides services to, or proposes to provide services to (check all that apply):

☐ Residential Customers

☒ Commercial Customers

☒ Industrial Customers

☒ Other (Describe in attachment)

Does the Renewal Applicant have any restrictions on the number or size of end use Customers? Yes \_\_\_\_ or No ☒ \_\_\_\_ If yes, please explain the information in an attached statement.

**START DATE:** The Renewal Applicant began services or propose to begin services:  
ASAP

### **FINANCIAL INTEGRITY**

#### **10. REQUIRED DOCUMENTATION OF FINANCIAL INTEGRITY:**

The Renewal Applicant shall provide the most recent versions of the following documents to the extent they are available:

- ☐ Balance sheets, income statements and statements of cash flow for the most recent 12-month period for which information is available. Audited financial statements must be provided if they exist. In addition, the Renewal Applicant shall provide any financial statements subsequent to the most recent annual financial statements.
- ☐ In the event that a parent or other company, person or entity has undertaken to guarantee the financial integrity of the Renewal Applicant, the Renewal Applicant must submit such entity's balance sheet, income statement and statement of cash flow, together with documentation of such guarantee to insure the financial integrity of the Renewal Applicant. Audited financial statements must be provided if they exist. In addition, the Renewal Applicant shall provide any available quarterly financial statements subsequent to the most recent annual financial statements.



- Organizational structure of Renewal Applicant. Include Renewal Applicant's parent, affiliate(s), and subsidiary(ies) if any.
- Evidence of general liability insurance.

## 11. BONDING REQUIREMENTS

Does the Licensee have a current bond or any other form of financial guarantee (i.e., line-of-credit agreement, irrevocable letters of credit, etc.) on file with the Commission? Check all that apply. Licensee is not required to submit a new bond if current bond is on file with the Commission.

Customer Payments Bond

Integrity Bond -Surety Bond for Energy Suppliers and Marketers

Integrity Bond -Surety Bond for Aggregators and Brokers

Other Form of Financial Guarantee (please identify)

### Integrity Bond

A Renewal Applicant who cannot provide credible evidence that it meets the financial integrity standards listed in Sections 4605 or 4705 of Chapters 46 and 47 of Title 15 DCMR must submit a bond, if a bond is not currently on file, on the form attached to this Renewal Application ("Integrity Bond"). The Renewal Applicant, if licensed by the Commission as an electricity and/or natural gas supplier, may be required to update/revise this initial Integrity Bond, by revising the initial Integrity Bond or posting an additional Integrity Bond, as set forth in Sections 4605 and 4705.

However, a Renewal Applicant who can provide credible evidence that it meets the financial integrity standards listed in Section 4605 or 4705 will not be required to submit an Integrity Bond. (The Renewal Applicant may still be required to submit a separate Customer Payments Bond, as discussed below.)

### Customer Payments Bond

A separate bond on the appropriate form attached to this Renewal Application is mandatory if a Renewal Applicant requires prepayments and/or deposits from residential or small commercial Customers ("Customer Payments Bond"). Please check one of the boxes below to state whether you, the Renewal Applicant, intend to charge, collect, or hold prepayments and/or deposits, as such terms are defined in the Bonding Requirements Addendum attached to this Renewal Application:

- ☐ Renewal Applicant will not accept prepayments or deposits from residential and/or small commercial Customers.

- ☐ Renewal Applicant intends to accept prepayments or deposits and/or deposits from residential and/or small commercial Customers. Renewal Applicant must comply with Bonding Requirements Addendum governing the Customer Payment Bond.

Further details regarding the District of Columbia's bonding requirements are included in Sections 4604, 4704, 4605 and 4705 of Chapters 46 and 47 of Title 15 DCMR.

**12. NOTICE OF REQUIRED COMPLIANCE:** The Renewal Applicant is hereby notified that it is required to comply with the following:

- (a) The Renewal Applicant may be required to submit bond(s), as described herein.
- (b) The Renewal Applicant must update this application with the Commission immediately if any of the information provided in this Renewal Application changes or an error or inaccuracy is noted during the pendency of the Renewal Application. Following submission of a completed Renewal Application, the Renewal Applicant/Licensee must inform the Commission of changes to all parts of the application and the averment regarding any civil, criminal, or regulatory penalties, etc. imposed on Licensee, *et al.* within thirty days of the change or an error or inaccuracy is noted. A Licensee must inform the Commission of changes to the averment regarding bankruptcy proceedings instituted voluntarily or involuntarily within one business day of the institution of such proceedings.
- (c) Supplement this Renewal Application in the event the Commission modifies the licensing requirements or requests further information.
- (d) Agree that it will not present itself as a licensed retail supplier of electricity and/or natural gas in the District of Columbia, sell or market services, accept deposits, prepayments, or contract with any end-use Customers without a license from the Commission.
- (e) Pay all fees imposed by the Commission and any applicable taxes.
- (f) Participate in and complete an annual Electricity and/or Natural Gas Suppliers Education Workshop sponsored by the Commission, pursuant to Sections 4603 and 4703 of Chapters 46 and 47, Title 15 DCMR.

13. **AFFIDAVITS REQUIRED.** The Renewal Applicant must supply updated Affidavits of Tax Compliance and General Compliance to the Commission with the completed Renewal Application. The updated affidavits are included with this Renewal Application packet and must be executed by the Renewal Applicant or representative with authority to bind the Renewal Applicant in compliance with District of Columbia laws.
14. **FURTHER DEVELOPMENTS:** The Renewal Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

**AFFIDAVIT OF TAX COMPLIANCE**

State of Texas

:

County of Tarrant

: ss

:

Paul Fershtand, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

That he/she is the V.P. (office of Affiant) of Live Energy Inc. (Name of Renewal Applicant);

That he/she is authorized to and does make this Affidavit for said Renewal Applicant:

That Live Energy Inc., the Renewal Applicant herein, certifies to the Public Service Commission of the District of Columbia ("Commission") that it is subject to, will pay, and in the past has paid, the full amount of District of Columbia and Federal taxes imposed by applicable statutes and ordinances, as may be amended from time to time. The Renewal Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of the District of Columbia, shall be cause for the Commission to revoke the license of the Renewal Applicant. The Renewal Applicant acknowledges that it shall provide to the Commission its jurisdictional Gross Receipts and revenues from retail sales in the District, for the previous year or as otherwise required by the Commission.

As provided by applicable Law, Renewal Applicant, by filing of this Renewal Application waives confidentiality with respect to its tax information in the possession of the (appropriate taxing authority), regardless of the source of the information, and shall consent to the (appropriate taxing authority) providing that information to the Commission. The Commission shall retain such information confidentially. This does not constitute a waiver of the confidentiality of such information with respect to any party other than the Commission.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Renewal Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant

DocuSigned by:  
Paul Fershtand  
EF486726BE464E7...

Sworn and subscribed before me this \_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Signature of official administering oath

My commission expires \_\_\_\_\_.

**AFFIDAVIT OF GENERAL COMPLIANCE  
FOR ELECTRICITY SUPPLIERS**

State of Texas :  
County of Tarrant : ss

Paul Fershtand, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He/she is the V.P. (Officer/Affiant) of Live Energy Inc. (Name of Renewal Applicant).

That he/she is authorized to and does make this Affidavit for said Renewal Applicant.

That the Renewal Applicant herein certifies to the Public Service Commission of the District of Columbia ("Commission") that:

The Renewal Applicant agrees to comply with the terms and conditions of Potomac Electric Power's Company's tariff and agreements.

The Renewal Applicant is in compliance with and agrees to comply with all applicable Federal and District of Columbia consumer protection and environmental laws and regulations, and Commissions regulations, fees, assessments, order and requirements.

The Renewal Applicant agrees that it shall neither disclose nor resell individual residential Customer data provided to the Renewal Applicant by the Potomac Electric Power Company. Disclosure or resale of individual non-residential Customer data provided to the Renewal Applicant by a District of Columbia electricity company will be governed by Customer contract.

The Renewal Applicant agrees to post an appropriate bond or other form of financial guarantee as required by the Commission and its regulations.

The Renewal Applicant, including any of its Predecessor(s) and/or affiliates that engages in or engaged in the sale or transmission of electricity at wholesale or retail, or in the sale electricity at wholesale or retail to the public, the general partners, company officials, corporate officers or directors, or limited liability company managers or officers of the Renewal Applicant, its predecessor(s) or its affiliates, agrees that it:

1. Has had no civil, criminal or regulatory sanctions or Penalties imposed against it within the previous five (5) years pursuant to any state or federal consumer protection law or regulations, has not been convicted of any

fraud-related crime (including, but not limited to, counterfeiting and forgery, embezzlement and theft, fraud and false statements, perjury, and securities fraud) within the last five (5) years; and has not ever been convicted of a felony; or alternatively.

2. Has disclosed by attachment all such sanctions, penalties or convictions.

The Renewal Applicant further certifies that it:

1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier; and
2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or state bankruptcy law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admits in writing its inability to pay its debt generally as they become due to consents to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property.

That Renewal Applicant possesses the requisite managerial and financial fitness to provide service at retail in the District of Columbia.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Renewal Applicant to be able to prove the same at any hearing hereof.

DocuSigned by:  
  
Signature of Affiant

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Signature of official administering oath

My commission expires\_\_\_\_\_.

## VERIFICATION

State of Texas :  
County of Tarrant : ss

Paul Fershtand, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He/she is the V.P. (Officer/Affiant) of  
Live Energy Inc. (Name of Renewal  
Applicant);

That he/she is authorized to and does make this Affidavit for said corporation;

The Renewal Applicant understands that the making of a false statement(s) herein may be grounds for denying the Renewal Application or, if later discovered, for revoking any authority granted pursuant to the Renewal Application. This Renewal Application is subject to all applicable Sections of the District of Columbia Code as may be amended from time to time relating to perjury and falsification in official matters.

That the Renewal Applicant will supplement this Renewal Application in the event the Public Service Commission of the District of Columbia ("Commission") modifies the licensing requirements, or requests further information.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Renewal Applicant to be able to prove the same at any hearing hereof.

DocuSigned by:

Paul Fershtand

EF486726BE464E7...

\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_.

\_\_\_\_\_  
Signature of official administering oath

My commission expires \_\_\_\_\_.



**RENEWAL APPLICANT'S GENERAL AUTHORIZATION FOR VERIFICATION OF  
FINANCIAL INFORMATION, ETC.**

**TO WHOM IT MAY CONCERN:**

I/We having applied and been granted a license(s) to be an Electricity and/or Natural Gas Supplier, or to provide certain Electricity and/or Natural Gas Supply related services by the Public Service Commission of the District of Columbia (the "Commission") duly authorize you to release to the Staff of the Commission and its authorized representatives and agents any information or copies of records requested concerning:

MY COMPANY OR BUSINESS AND ITS HISTORY,  
PERFORMANCE, OPERATIONS, CUSTOMER  
RELATIONS, FINANCIAL CONDITION, INCLUDING  
BANK ACCOUNT TRANSACTIONS AND BALANCES,  
PAYMENT HISTORY WITH SUPPLIERS AND OTHER  
CREDITORS, VERIFICATION OF NET WORTH AND  
OTHER INFORMATION AND RECORDS WHICH THE  
COMMISSION REQUIRES TO VERIFY OR MAKE  
INQUIRY CONCERNING MY/OUR FINANCIAL  
INTEGRITY AND THE INFORMATION CONTAINED  
IN MY/OUR LICENSE APPLICATION OR OTHER  
INFORMATION PROVIDED BY ME/US TO THE  
COMMISSION OR, STAFF OF THE COMMISSION OR  
ITS REPRESENTATIVES OR AGENTS.

This Authorization is continuing in nature and includes release of information following issuance and renewal of a license, for reverification, quality assurance, internal review, etc. The information is for the confidential use of the Commission and the Staff of the Commission in determining my/our financial integrity for being a licensee or to confirm information I/We have supplied and may not be released by order of the Commission or by order of a court of competent jurisdiction.

A photographic or fax copy of this authorization may be deemed to be the equivalent of the original and may be used as a duplicate original. The original signed form is maintained by the Staff of the Commission.

**RENEWAL APPLICANT'S AUTHORIZATION TO RELEASE INFORMATION:**

Paul Fershtand

**RENEWAL APPLICANT (please print)**

DocuSigned by:

*Paul Fershtand*

5/27/2021

**RENEWAL APPLICANT'S SIGNATURE**

**DATE**

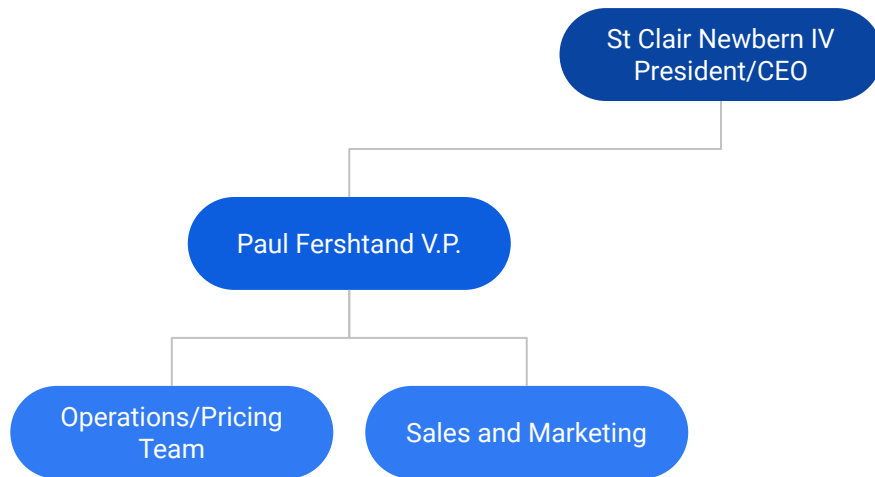
V. P

**TITLE**

Attachement (A)

List of states presently selling electricity brokering services.

1. **State:** Tx **Type:** Electricity **License No.** License not required
2. **State:** District Of Columbia **Type:** Electricity **License No.** EA2013-21
3. **State:** Illinois **Type:** Electricity **License No.** 12-0051
4. **State:** Maine **Type:** Electricity/Gas **License No.** 2013-00260
5. **State:** Maryland **Type:** Electricity **License No.** IR-3162
6. **State:** Massachusetts **Type:** Electricity **License No.** EB-261
7. **State:** New Jersey **Type:** Electricity/Gas **License No.** EA-0177
8. **State:** Ohio **Type:** Electricity/ Gas **License No.** 12-535E License No. 14-376G
9. **State:** Pennsylvania **Type:** Electric/Gas **License No.** A-2010-2188300





# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

5/24/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> SMA Insurance Services 2301 Ohio Dr, Ste 221  Plano TX 75093		<b>CONTACT NAME:</b> Marcia Meleleu <b>PHONE (A/C, No, Ext):</b> (214) 342-3109 <b>E-MAIL ADDRESS:</b> marcias@smains.com <b>FAX (A/C, No):</b> (214) 342-3960	
<b>INSURED</b> Live Energy, Inc 102 Saint Louis Ave Unit 133  Fort Worth TX 76104		<b>INSURER(S) AFFORDING COVERAGE</b> <b>INSURER A:</b> Hartford Lloyds <b>INSURER B:</b> <b>INSURER C:</b> <b>INSURER D:</b> <b>INSURER E:</b> <b>INSURER F:</b>	
		<b>NAIC #</b> 38253	


**COVERAGES****CERTIFICATE NUMBER:** CL2152409435**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> <b>COMMERCIAL GENERAL LIABILITY</b>			46SBAIF4459	1/3/2021	1/3/2022	EACH OCCURRENCE \$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000
							MED EXP (Any one person) \$ 10,000
							PERSONAL & ADV INJURY \$ 1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$ 2,000,000
	<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						PRODUCTS - COMP/OP AGG \$ 2,000,000
	OTHER:						\$
	<b>AUTOMOBILE LIABILITY</b>						COMBINED SINGLE LIMIT (Ea accident) \$
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person) \$
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS					BODILY INJURY (Per accident) \$
	<input type="checkbox"/> HIRED AUTOS	<input type="checkbox"/> NON-OWNED AUTOS					PROPERTY DAMAGE (Per accident) \$
							\$
	<b>UMBRELLA LIAB</b>	<input type="checkbox"/> OCCUR					EACH OCCURRENCE \$
	<b>EXCESS LIAB</b>	<input type="checkbox"/> CLAIMS-MADE					AGGREGATE \$
	DED <input type="checkbox"/> RETENTION \$						\$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b>	<input type="checkbox"/> Y/N					PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/>
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> N/A					E.L. EACH ACCIDENT \$
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE \$
							E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

**CERTIFICATE HOLDER****CANCELLATION**

Commission Secretary Public Service Commission-D.C. 1325 G Street N.W. Ste 800 Washington, DC 20005	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE  Steve Meleleu/SMAGEN 

© 1988-2014 ACORD CORPORATION. All rights reserved.

**License and/or Permit Continuous Bond**

Bond No. LPM7637002

KNOW ALL MEN BY THESE PRESENTS:

That we,

LIVE ENERGY INC.

as Principal, and Fidelity and Deposit Company of Maryland, incorporated under the laws of the State of Maryland, with principal office in Schaumburg IL

as Surety, are held and firmly bound unto District of Columbia

as Obligee, in the penal sum of Ten Thousand and Zero Cents ( \$10,000.00 ) Dollars, lawful money of the United States, for which payment, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly, by these presents.

WHEREAS, the above bounden Principal has obtained or is about to obtain from the said Obligee a license or permit for Supply Electric Generation Services to Public

and the term of said license or permit is continuous, beginning the 3rd day of September, 2013.

WHEREAS, the Principal is required by law to file with District of Columbia

a bond for the above indicated term and conditioned as hereinafter set forth.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION IS SUCH, That if the above bounden Principal as such licensee or permittee shall indemnify said Obligee against all loss, costs, expenses or damage to it caused by said Principal's non-compliance with or breach of any laws, statutes, ordinances, rules or regulations pertaining to such license or permit issued to the Principal, which said breach or non-compliance shall occur during the term of this bond, then this obligation shall be void, otherwise to remain in full force and effect.

PROVIDED, that if this bond is for a fixed term, it may be continued by Certificate executed by the Surety hereon; and

PROVIDED FURTHER, that regardless of the number of years this bond shall continue or be continued in force and of the number of premiums that shall be payable or paid the Surety shall not be liable hereunder for a larger amount, in the aggregate, than the amount of this bond, and

PROVIDED FURTHER, that if this is a continuous bond and the Surety shall so elect, this bond may be cancelled by the Surety as to subsequent liability by giving thirty (30) days notice in writing to said Obligee.

Signed, sealed and dated the 3rd day of September, 2013

LIVE ENERGY INC.

Principal

By \_\_\_\_\_

Fidelity and Deposit Company of Maryland

By \_\_\_\_\_

Molly Regan Salazar, Attorney-in-Fact

LPM90005ZZ0801f