



8235 Douglas Ave., Suite 350
Dallas, TX 75225
Fax: 214-890-1535
E-Mail: rgunby@compasspwr.com
Web: www.compasspwr.com

Date: January 16, 2019

Public Service Commission of the District of Columbia
1325 G Street, N.W., Suite 800
Washington, DC 20005
Attn: Tiffany A. Frazier

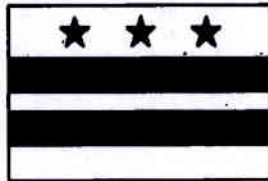
Ms. Frazier:

This letter is to confirm that the financials presented on behalf of Compass Power regarding case No. EA 2018-16 are true and correct to my knowledge in accordance with GAAP.

Sincerely,


Monica Smith

GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS
CORPORATIONS DIVISION



CERTIFICATE

THIS IS TO CERTIFY that all applicable provisions of the District of Columbia Business Organizations Code have been complied with and accordingly, this **CERTIFICATE OF REGISTRATION** is hereby issued to:

COMPASS POWER, LLC

Effective Date: 12/1/2018

IN WITNESS WHEREOF I have hereunto set my hand and caused the seal of this office to be affixed as of 11/19/2018 7:39 PM



Business and Professional Licensing Administration

PATRICIA E. GRAYS
Superintendent of Corporations
Corporations Division

Muriel Bowser
Mayor

Tracking #: 9xeiRPBm



GOVERNMENT OF THE
DISTRICT OF COLUMBIA
MURIEL BOWSER, MAYOR

DCRA Corp. Div.

NOV 19 2018

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M. Chapman

**District of Columbia Government
Corporations Division**

Foreign Registration Statement Form FN-1, Ver. 2, April 2018.

Use this form to register your foreign filing entity to conduct business in the District of Columbia. Review instruction sheet on page 2 before completing this form.

ENTITY TYPE / AUTHORITY	FILING FEE
Foreign Filing Entity: § 29-105.03.	Refer to Corporate Fee Schedule posted online;

Under the provisions of the Title 29 of D.C. Code (Business Organizations Act) the foreign filing entity listed below hereby applies for a Certificate of Registration to transact business in the District of Columbia, and for that purpose submits the statement below

1. Entity Name.
Compass Power, LLC

2. Entity Type.
Texas Limited Liability Company

3. Entity's Alternate Name (if true legal name is not available)

4. Organized under the laws of which state/province and country. Texas	5. Date of Organization. 11/18/2010
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6. Date entity started or will start transacting business in the District of Columbia.
12/1/2018

7. Principal Address.
8235 Douglas, Ave., Suite 350, Dallas, Texas, 75225

8. Registered Agent's name and address of registered office in District of Columbia.
C T Corporation System, 1015 15th Street N.W., Suite 1000 Washington, D.C. 20005

9. Briefly describe the proposed activity company will transact in the District of Columbia.
Broker of Commercial electricity

10. List the name of at least one governor. (may attach the statement)

TITLE	NAME	ADDRESS
Manager	Robert T. Gunby	8235 Douglas Ave., Suite 350, Dallas, TX 75225

11. Attach an original Certificate of Good Standing (Certificate of Existence) from Registration Authority in the State/Province/Country of Incorporation that is not over 90 days old.

If you sign this form you agree that anyone who makes a false statement can be punished by criminal penalties and fine up to \$1000, imprisonment up to 180 days, or both, under DCDC § 22-24.5.

12. Name of the Governor or Authorized Person: Robert T. Gunby	12A. Signature of the Governor or Authorized Person & Date: <i>[Signature]</i>
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Mail all forms and required payment to:
Department of Consumer and Regulatory Affairs
Corporations Division
PO Box 92300
Washington, DC 20090
Phone: (202) 442-4432

Corporate Online Services Information:
Many corporate filings are available by using CorpOnline Service.
Go to CorpOnline site at <https://corponline.dcrd.dc.gov>, create the profile, access the online services main page and proceed.
Online filers must pay by using the credit card.



DCRA Corp. Div.

NOV 19 2010

Office of the Secretary of State

File Copy _____

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Compass Power, LLC (file number 801345237), a Domestic Limited Liability Company (LLC), was filed in this office on November 18, 2010.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on November 16, 2018.



A handwritten signature in black ink, appearing to read "R. Pablos".

Rolando B. Pablos
Secretary of State

Compas Power - Revenue and Projections

<u>2017 Actual</u>	<u>2018 Actual/Outlook</u>	<u>2019 Outlook</u>	<u>2020 Outlook</u>	<u>2021 Outlook</u>	<u>2022 Outlook</u>	<u>2023 Outlook</u>
\$ 1,004,340.36	\$ 1,024,427.17	\$ 1,004,115.71	\$ 1,024,198.02	\$ 1,044,681.99	\$ 1,065,575.62	\$ 1,086,887.14



Public Service Commission of the District of Columbia

1325 G Street, N.W., Suite 800
Washington, D.C. 20005
(202) 626-5100
www.dcpsc.org

October 22, 2018

Via First Class & Electronic Mail

Brian Frazier
Compass Power, LLC
8235 Douglas Avenue, Suite 350
Dallas, Texas 75225

Re: Formal Case No. EA 2018-16, In the Matter of the Application of Compass Power, LLC for an Electricity Supplier License

Dear Mr. Frazier:

→ we are applying for Energy Broker license
+ not Supplier license

On September 10, 2018, Compass Power, LLC ("Compass Power" or the "Applicant") filed an Application with the Public Service Commission of the District of Columbia ("Commission"), seeking a license to operate as a broker of electric services for customers in the District of Columbia ("District").¹ The Commission's rules under Chapter 46 of Title 15 of the District of Columbia Municipal Regulations requires electric suppliers seeking to provide services in the District to submit information in their application describing their experience, financial capabilities and stability as an electric service provider. Pursuant to those rules, the Commission may request additional information to ensure that the Applicant is satisfying the regulatory requirements. Accordingly, please submit the following:²

1. Pursuant to Chapter 46, 15-DCMR, a CPA opinion or Accountant's Compilation report to accompany the financial statements; **Attached**
2. Pursuant to Chapter 46, 15-DCMR, an Integrity Bond in the amount of \$10,000 to support the financial integrity of the Application; **Do we need for just Energy Broker license?**
3. Pursuant to Chapter 46, 15-DCMR, the Applicant's bank statements for the preceding six months; **Attached**

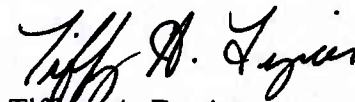
¹ See Formal Case No. EA 2018-16, In the Matter of the Application of Compass Power, LLC for an Electricity License, filed September 10, 2018.

² See 65 DCR 9970-9989 (September 28, 2018).

4. Pursuant to Chapter 46, 15-DCMR, the Applicant's revenue projections for the next (5) years (i.e. 2019 through 2023); *Attached*
5. Pursuant to Chapter 46, 15-DCMR, proof that the Applicant has registered with the District of Columbia's Department of Consumer and Regulatory Affairs and the District of Columbia Office of Tax and Revenue to do business in the District of Columbia (i.e., registration certificate); and *Attached*
→ We do not nor will not have any employees in DC nor pay in DC.
6. Pursuant to Chapter 46, 15-DCMR, a notarized Affidavit of Tax Compliance and a notarized Affidavit of General Compliance.

Please provide the information requested above by close of business on Thursday, November 1, 2018. If you have not provided the requested information by the designated time period or made a request for an extension of time, your application will be automatically dismissed without prejudice and if you wish to apply again you will have to file a new application along with any required fees. If you should have any further questions regarding this matter, you may contact me at (202) 626-0568.

Very Respectfully,



Tiffany A. Frazier
Regulatory Affairs Specialist