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OFFICE OF THE
COMMISSION SECRETARY

September 26, 2019

Commission Secretary
Public Service Commission of the District of Columbia
1325 G Street N.W.
Suite 800
Washington, D.C. 20005

Re: **United Power Consultants, Inc. – EA2014-08-6
Renewal Application for Energy Broker**

To Whom It May Concern:

Enclosed please find a **Energy Broker License Renewal Application** for our client, **United Power Consultants, Inc.** Once the application has been processed, please forward evidence of approval to the mailing address on the application. If there is any issue, or if you require any further information, please do not hesitate to contact us.

Thank you,

LicenseLogix
140 Grand Street, Suite 300
White Plains, NY 10601
renewals@licenselogix.com

(800) 292-0909

**RENEWAL APPLICATION FOR CURRENT LICENSEES
SUPPLYING ELECTRICITY AND NATURAL GAS, OR ELECTRIC
GENERATION AND/OR NATURAL GAS SUPPLY SERVICES TO
THE PUBLIC IN THE DISTRICT OF COLUMBIA**

A current five (5) year Licensee may use the attached form to submit this Renewal Application. (Please remove this instruction sheet prior to filing.) If you need more space than is provided on this form, then you can create an attachment to this Renewal Application. You may also attach exhibits. All attachments/exhibits must be labeled or tabbed to identify the application item to which they respond. You are also required to file an electronic version of this document (excluding "confidential" information) which must be converted to the Portable Document Format ("PDF") before filing.

To file a Renewal Application with the District of Columbia Public Service Commission ("Commission"), file a signed and verified original and an electronic version of your Renewal Application and attachments, with the Commission Secretary in Washington, D.C.:

**Commission Secretary
Public Service Commission of the District of Columbia
1325 G Street, N.W., Suite 800
Washington, D.C. 20005**

Questions pertaining to the completion of this Renewal Application may be directed to the Commission at the above address or you may call the Commission at the following number: (202) 626-5100. You may reach the Commission electronically at psc-commissionsecretary@dc.gov.

If your answer to any of the Renewal Application questions changes during the pendency of your Renewal Application, or if the information relative to any item herein changes while you are operating within the District of Columbia, you are under a duty to so inform the Commission immediately. A Renewal Application is deemed to be complete and in effect following the end of the Commission's thirty (30) day review of the Renewal Application, provided the Commission requests no additional information during the thirty (30) day review period. The Commission will take no additional action on a completed and satisfactory Renewal Application following the thirty (30) day review period. A Licensee/Renewal Applicant must inform the Commission of changes to the averment regarding bankruptcy proceedings instituted voluntarily or involuntarily within one business day of the institution of such proceedings. A Licensee/Renewal Applicant also is required to officially notify the Commission if it plans to cease doing business in the District of Columbia sixty (60) days prior to ceasing operations.

Confidentiality: Sections 4d and 14 of this Renewal Application related to ownership of the Renewal Applicant (to the extent such information is not already public) and financial

information, respectively, will be treated as confidential information by the Commission to the extent permitted by law if the Renewal Applicant requests such treatment by stamping or marking the materials in question as "CONFIDENTIAL." Any interested person may request, however, release of this information by filing such a request with the Commission. If such a request is made, Renewal Applicant shall have the burden of proving the confidential nature of the information. The Commission will notify the Renewal Applicant of any request for release of this information and will permit the Renewal Applicant the opportunity to respond to the request through written motion filed with the Commission prior to the Commission's determination on the request.

Applicable law: The provisions set forth in this application related to the licensing of Electricity and/or Natural Gas Suppliers and the provision of electricity or natural gas, and electricity or natural gas supply services are addressed in detail in the "Retail Electric Competition and Consumer Protection Act of 1999," D.C. Code Section 34-1508 or "Retail Natural Gas Supplier Licensing and Consumer Protection Act of 2004," and in Commission orders and regulations.

Statements made in this Renewal Application are made under penalty of perjury (D.C. Code Section 22-2402), false swearing (D.C. Code Section 22-2404), and false statements (D.C. Code Section 22-2405). Perjury is punishable by a fine of up to five thousand dollars (\$5,000) or imprisonment for up to ten (10) years, or both. False statements are punishable by a fine not more than one thousand dollars (\$1,000) or imprisonment for not more than one hundred eighty (180) days, or both. Further amendments to these D.C. Code Sections shall apply. If the Commission has reliable information that a Renewal Applicant has violated any or all of these Sections of the Code, the Commission will forward the information to the appropriate law enforcement agency. Statements made in this Renewal Application are also subject to Commission regulations, which require the Renewal Applicant to certify the truthfulness of the contents of this Renewal Application. Any Renewal Applicant in violation of Commission regulations is subject to the penalties found in the "Retail Electric Competition and Consumer Protection Act of 1999," D.C. Code Section 34-1508 or "Retail Natural Gas Supplier Licensing and Consumer Protection Act of 2004," D.C. Code Section 34-1671.11.

BEFORE THE DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION

Renewal Application for License No. (Order No.) EA2014-08-6

Renewal Application of United Power Consultants Inc, d/b/a ("doing business as")

United Power Consultants Inc.
for approval to offer, render, furnish, or supply electricity or electric generation services and/or
natural gas services as a(n) Broker
[specified in item 8 below] to the public in the District of Columbia

To the District of Columbia Public Service Commission:

BUSINESS INFORMATION

1. IDENTITY OF THE LICENSEE/RENEWAL APPLICANT:

a. Legal Name United Power Consultants, Inc.

Current Mailing Address: 2001 N Federal Highway, suite 318
Pompano Beach, FL 33062

Street Address (if different): Same

Telephone Number: 800-738-6159

Website URL: www.unitedpowerconsultants.com

Other States, including District of Columbia, in which the Renewal Applicant is now or has been engaged in the retail sale of electricity and/or natural gas and the names under which the Renewal Applicant is engaged or has been engaged in such business(es). Renewal Applicant may limit response to the last three (3) years:

Name: United Power Consultants, Inc.

Business Address: 2001 N Federal Highway, Suite 318
Pompano Beach, FL 33062

License # State of Issuance: 8456-DE, IR-3326-MD, 2014-00081-ME, EA0254-NJ, 13-729E-OH

Other states in which the Renewal Applicant has applied to provide retail electricity and/or

natural gas service but has been rejected. Renewal Applicant may limit response to the last three (3) years:

State(s): N/A

Date of Renewal Application: N/A

Attach additional sheets to the application if necessary.

b. Trade name (If applicable): N/A

c. The District of Columbia and other states, in which the Renewal Applicant has provided retail electricity and/or natural gas service under the current Renewal Applicant name or in a different name but has voluntarily or involuntarily surrendered its license. Describe reasons for license surrender. With regard to a voluntary or involuntary license surrender in the District of Columbia only, state whether any previously outstanding assessments and/or penalties imposed by the Commission and the Office of the People's Counsel have been paid. If any previous assessments and/or penalties are unpaid, provide a date certain when those assessments and/or penalties will be paid. Renewal Applicant may limit response to the preceding five (5) years:

State(s): N/A

Date of License Surrender and Reasons for License Surrender (If applicable):

 N/A

In the District of Columbia, Amount of Paid Assessments and Unpaid Assessments/Penalties Following License Surrender and to Whom Owed (If Applicable)

 N/A

Attach additional sheets to the application if necessary.

2.a. CONTACT PERSON-REGULATORY CONTACT:

Name and Title: Gregory Scheffler, Authorized Representative

Address: c/o LicenseLogix
140 Grand Street, #300
White Plains, NY 10601

Telephone: () 800-292-0909

Fax: () 212-672-1105

E-mail: renewals@licenseLogix.com

b. CONTACT PERSON-CUSTOMER SERVICE and CONSUMER COMPLAINTS (not required for Aggregators who do not take title and/or Brokers):

Name and Title: Glinda Rees, Human Resources

Address: 2001 N Federal Highway, Suite 318, Pompano Beach, FL 33062

Telephone: () 800-738-6159

Fax: () 888-229-1285

E-mail: grees@unitedpowerconsultants.com

3. RESIDENT AGENT:

Name and Title: InCorp Services, Inc.

Address: 1090 Vermont Avenue, NW, Suite 910, Washington DC 20005

Telephone: () 800-246-2677

Fax: () _____

E-mail: processing@incorp.com

4. PRIMARY COMPANY OFFICIALS

President/General Partners:

Name(s) George Sarafianos

Business Address: 2001 N Federal Highway, Suite 318.

Pompano Beach, FL 33062

CEO/Managing Partner:
Name(s) _____

Business Address: _____

Secretary Name: _____

Business Address: _____

Treasurer Name: _____

Business Address: _____

a. **LICENSEE/RENEWAL APPLICANT'S BUSINESS FORM:**

- Proprietorship
- Corporation
- Partnership
- Limited Partnership
- Limited Liability Company
- Limited Liability Partnership
- Other _____

b. **OWNERSHIP:** Provide on a separate sheet the names and addresses of all persons and entities that directly or indirectly own ten percent (10%) or more of the ownership interests in the Renewal Applicant, or have the right to vote ten percent (10%) or more in the Renewal Applicant's voting securities, or who otherwise have the power to control ten percent (10%) or more of the Renewal Applicant.

5. **AFFILIATES, OR PREDECESSOR(S) OF THE RENEWAL APPLICANT, ENGAGED IN THE SALE OR TRANSMISSION OF ELECTRICITY AT WHOLESALE OR RETAIL OR TRANSPORTATION/DELIVERY OF NATURAL GAS AT WHOLESALE OR RETAIL TO THE PUBLIC:** (select and complete appropriate statement) (Renewal Applicant may limit responses to the last five (5) years)

- a. The Renewal Applicant has no such Affiliate(s) or Predecessors(s). X
- b. Renewal Applicant is an Affiliate of a regulated utility. Please provide the regulated utility's Name and the jurisdictions in which it operates: _____

c. Affiliate(s), or Predecessor(s), other than a regulated utility that provides, or provided, sale or transmission of electricity at wholesale or retail, or sale or transportation/delivery of natural gas at wholesale or retail to the public:

Name: _____

Business Address: _____

License #, State of Issuance: _____

Location of Operations (Utility Service Territory): _____

Attach additional sheets to the application if necessary.

6. **ACTIONS AGAINST LICENSEES/RENEWAL APPLICANT:** Provide the following information for the Renewal Applicant, any Predecessor(s), and any unregulated Affiliate that engages in or engaged in the sale or transmission of electricity at wholesale or retail, or the sale or transportation/delivery of natural gas at wholesale or retail to the public. (Renewal Applicant may limit responses to the preceding five (5) years).

- Identify all actions against the Licensee, Predecessor or any regulated or unregulated affiliate(s) such as Suspensions/Revocations/Limitations/ Reprimands/Fines and describe the action in an attached statement, including docket numbers, offense dates, and case numbers, if applicable. Formal Investigations (defined as those investigations formally instituted in a public forum by way of the filing of a complaint, show cause order, or similar pleading) instituted by any regulatory agency or law enforcement agency relating to the Renewal Applicant, Predecessor(s), or unregulated affiliate(s) if, as a result of the investigation, Renewal Applicant's/Predecessor's/or affiliate's license to provide service to the public was in jeopardy are also listed. The license number, state of issuance, and name of license are identified below:

State(s): _____

Name(s): _____

License Number(s) (or other applicable identification):

- No such action has been taken.

7. **RELIABILITY AND ENVIRONMENTAL OFFICIAL ACTIONS AGAINST APPLICANTS/AFFILIATES:** Provide the following information for official actions that have been taken against the Renewal Applicant, any Predecessor(s), and any unregulated Affiliate (if available to the Renewal Applicant) that engages in the retail or wholesale sale of electricity and/or natural gas for matters relating to environmental or reliability status for the past five years.

- Official actions such as Suspensions/Revocations/Limitations/ Reprimands/Fines/Regulatory Investigations (state agencies, FERC, EPA, or other federal agencies) have been taken against the Renewal Applicant, any Predecessor(s) or unregulated affiliate(s), and are described in the attached statement, including docket numbers, offense dates, and case numbers, if applicable.

State(s): _____
Name(s): _____

No such action has been taken.

8. **RENEWAL APPLICANT'S PROPOSED OR CURRENT OPERATIONS:**
The Renewal Applicant operates or proposes to operate as a:

- Generator of Electricity
- Marketer of Electricity or Natural Gas Supplier/Marketer of natural gas.
- Aggregator acting on behalf of Customers to purchase electricity or natural gas and does not take title to natural gas.
- Broker acting as an agent or intermediary on behalf of Customers in the sale and purchase of electricity or natural gas and who does not take title to natural gas.

Which electricity or natural gas supply related service(s) does the Renewal Applicant offer?

- Billing
- Other (Please specify the nature of such other services in an attached statement.)

Does Renewal Applicant intend to or does offer competitive billing services? No

Is the Renewal Applicant proposing to offer any other services? No
If so, please provide information regarding the proposed service in an attached statement.

9. **CUSTOMERS:** Renewal Applicant provides services to, or proposes to provide services to (check all that apply):

- Residential Customers
- Commercial Customers
- Industrial Customers
- Other (Describe in attachment)

Does the Renewal Applicant have any restrictions on the number or size of end use Customers? Yes ___ or No X If yes, please explain the information in an attached statement.

START DATE: The Renewal Applicant began services or propose to begin services:

Upon approval

FINANCIAL INTEGRITY

10. REQUIRED DOCUMENTATION OF FINANCIAL INTEGRITY:

The Renewal Applicant shall provide the most recent versions of the following documents to the extent they are available:

- ☒ Balance sheets, income statements and statements of cash flow for the most recent 12-month period for which information is available. Audited financial statements must be provided if they exist. In addition, the Renewal Applicant shall provide any financial statements subsequent to the most recent annual financial statements.
- ☐ In the event that a parent or other company, person or entity has undertaken to guarantee the financial integrity of the Renewal Applicant, the Renewal Applicant must submit such entity's balance sheet, income statement and statement of cash flow, together with documentation of such guarantee to insure the financial integrity of the Renewal Applicant. Audited financial statements must be provided if they exist. In addition, the Renewal Applicant shall provide any available quarterly financial statements subsequent to the most recent annual financial statements.

- Organizational structure of Renewal Applicant. Include Renewal Applicant's parent, affiliate(s), and subsidiary(ies) if any.
- Evidence of general liability insurance.

11. BONDING REQUIREMENTS

Does the Licensee have a current bond or any other form of financial guarantee (i.e., line-of-credit agreement, irrevocable letters of credit, etc.) on file with the Commission? Check all that apply. Licensee is not required to submit a new bond if current bond is on file with the Commission.

Customer Payments Bond

Integrity Bond -Surety Bond for Energy Suppliers and Marketers

Integrity Bond -Surety Bond for Aggregators and Brokers

Other Form of Financial Guarantee (please identify)

Integrity Bond

A Renewal Applicant who cannot provide credible evidence that it meets the financial integrity standards listed in Sections 4605 or 4705 of Chapters 46 and 47 of Title 15 DCMR must submit a bond, if a bond is not currently on file, on the form attached to this Renewal Application ("Integrity Bond"). The Renewal Applicant, if licensed by the Commission as an electricity and/or natural gas supplier, may be required to update/revise this initial Integrity Bond, by revising the initial Integrity Bond or posting an additional Integrity Bond, as set forth in Sections 4605 and 4705.

However, a Renewal Applicant who can provide credible evidence that it meets the financial integrity standards listed in Section 4605 or 4705 will not be required to submit an Integrity Bond. (The Renewal Applicant may still be required to submit a separate Customer Payments Bond, as discussed below.)

Customer Payments Bond

A separate bond on the appropriate form attached to this Renewal Application is mandatory if a Renewal Applicant requires prepayments and/or deposits from residential or small commercial Customers ("Customer Payments Bond"). Please check one of the boxes below to state whether you, the Renewal Applicant, intend to charge, collect, or hold prepayments and/or deposits, as such terms are defined in the Bonding Requirements Addendum attached to this Renewal Application:

- Renewal Applicant will not accept prepayments or deposits from residential and/or small commercial Customers.

- Renewal Applicant intends to accept prepayments or deposits and/or deposits from residential and/or small commercial Customers. Renewal Applicant must comply with Bonding Requirements Addendum governing the Customer Payment Bond.

Further details regarding the District of Columbia's bonding requirements are included in Sections 4604, 4704, 4605 and 4705 of Chapters 46 and 47 of Title 15 DCMR.

12. **NOTICE OF REQUIRED COMPLIANCE:** The Renewal Applicant is hereby notified that it is required to comply with the following:
- (a) The Renewal Applicant may be required to submit bond(s), as described herein.
 - (b) The Renewal Applicant must update this application with the Commission immediately if any of the information provided in this Renewal Application changes or an error or inaccuracy is noted during the pendency of the Renewal Application. Following submission of a completed Renewal Application, the Renewal Applicant/Licensee must inform the Commission of changes to all parts of the application and the averment regarding any civil, criminal, or regulatory penalties, etc. imposed on Licensee, *et al.* within thirty days of the change or an error or inaccuracy is noted. A Licensee must inform the Commission of changes to the averment regarding bankruptcy proceedings instituted voluntarily or involuntarily within one business day of the institution of such proceedings.
 - (c) Supplement this Renewal Application in the event the Commission modifies the licensing requirements or requests further information.
 - (d) Agree that it will not present itself as a licensed retail supplier of electricity and/or natural gas in the District of Columbia, sell or market services, accept deposits, prepayments, or contract with any end-use Customers without a license from the Commission.
 - (e) Pay all fees imposed by the Commission and any applicable taxes.
 - (f) Participate in and complete an annual Electricity and/or Natural Gas Suppliers Education Workshop sponsored by the Commission, pursuant to Sections 4603 and 4703 of Chapters 46 and 47, Title 15 DCMR.

13. **AFFIDAVITS REQUIRED.** The Renewal Applicant must supply updated Affidavits of Tax Compliance and General Compliance to the Commission with the completed Renewal Application. The updated affidavits are included with this Renewal Application packet and must be executed by the Renewal Applicant or representative with authority to bind the Renewal Applicant in compliance with District of Columbia laws.

14. **FURTHER DEVELOPMENTS:** The Renewal Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

AFFIDAVIT OF TAX COMPLIANCE

State of Florida :
 : SS
County of Broward :

George Sushkos, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

That he/she is the President (office of Affiant) of United Business Consultants Inc. (Name of Renewal Applicant);

That he/she is authorized to and does make this Affidavit for said Renewal Applicant:

That George Sushkos the Renewal Applicant herein, certifies to the Public Service Commission of the District of Columbia ("Commission") that it is subject to, will pay, and in the past has paid, the full amount of District of Columbia and Federal taxes imposed by applicable statutes and ordinances, as may be amended from time to time. The Renewal Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of the District of Columbia, shall be cause for the Commission to revoke the license of the Renewal Applicant. The Renewal Applicant acknowledges that it shall provide to the Commission its jurisdictional Gross Receipts and revenues from retail sales in the District, for the previous year or as otherwise required by the Commission.

As provided by applicable Law, Renewal Applicant, by filing of this Renewal Application waives confidentiality with respect to its tax information in the possession of the (appropriate taxing authority), regardless of the source of the information, and shall consent to the (appropriate taxing authority) providing that information to the Commission. The Commission shall retain such information confidentially. This does not constitute a waiver of the confidentiality of such information with respect to any party other than the Commission.

That the facts above set forth are true and correct to the best of his/her present knowledge, information, and belief after due inquiry and that he/she expects said Renewal Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant

Sworn and subscribed before me this 26 day of September.

Glinda Rees
Signature of official administering oath

My commission expires February 02, 2021.



RENEWAL APPLICANT'S AUTHORIZATION TO RELEASE INFORMATION:

George Sarafianos

RENEWAL APPLICANT (please print)

x George E Sarafianos

RENEWAL APPLICANT'S SIGNATURE

9-26-19
DATE

President, Owner

TITLE

**RENEWAL APPLICANT'S GENERAL AUTHORIZATION FOR VERIFICATION OF
FINANCIAL INFORMATION, ETC.**

TO WHOM IT MAY CONCERN:

I/We having applied and been granted a license(s) to be an Electricity and/or Natural Gas Supplier, or to provide certain Electricity and/or Natural Gas Supply related services by the Public Service Commission of the District of Columbia (the "Commission") duly authorize you to release to the Staff of the Commission and its authorized representatives and agents any information or copies of records requested concerning:

MY COMPANY OR BUSINESS AND ITS HISTORY, PERFORMANCE, OPERATIONS, CUSTOMER RELATIONS, FINANCIAL CONDITION, INCLUDING BANK ACCOUNT TRANSACTIONS AND BALANCES, PAYMENT HISTORY WITH SUPPLIERS AND OTHER CREDITORS, VERIFICATION OF NET WORTH AND OTHER INFORMATION AND RECORDS WHICH THE COMMISSION REQUIRES TO VERIFY OR MAKE INQUIRY CONCERNING MY/OUR FINANCIAL INTEGRITY AND THE INFORMATION CONTAINED IN MY/OUR LICENSE APPLICATION OR OTHER INFORMATION PROVIDED BY ME/US TO THE COMMISSION OR, STAFF OF THE COMMISSION OR ITS REPRESENTATIVES OR AGENTS.

This Authorization is continuing in nature and includes release of information following issuance and renewal of a license, for reverification, quality assurance, internal review, etc. The information is for the confidential use of the Commission and the Staff of the Commission in determining my/our financial integrity for being a licensee or to confirm information I/We have supplied and may not be released by order of the Commission or by order of a court of competent jurisdiction.

A photographic or fax copy of this authorization may be deemed to be the equivalent of the original and may be used as a duplicate original. The original signed form is maintained by the Staff of the Commission.